

Manuscript Number: GEOBIO-D-10-00087R2

Title: A large new collection of Palaeostylops from the Paleocene of the Flaming Cliffs area (Ulan-Nur Basin, Gobi Desert, Mongolia), and an evaluation of the phylogenetic affinities of Arctostylopidae (Mammalia, Gliriformes)

Article Type: Original article / Article original

Keywords: Arctostylopidae; Gliriformes; Flaming Cliffs; Morphology; Biometry; Species discrimination; Phylogeny

Corresponding Author: Dr Pieter Missiaen, Ph.D.

Corresponding Author's Institution: Ghent University

First Author: Pieter Missiaen, Ph.D.

Order of Authors: Pieter Missiaen, Ph.D.; Gilles Escarguel, Ph.D.; Jean-Louis Hartenberger, Ph.D.; Thierry Smith, Ph.D.

Abstract: Arctostylopids are enigmatic mammals known from the Paleocene and early Eocene of Asia and North America. Based on molar similarities, they have most often been grouped with the extinct Notoungulata from South and Central America, but tarsal evidence links them to Asian basal gliriforms. Although Palaeostylops is the best known arctostyloid genus, some points of its content and species level taxonomy are uncertain. Here we report 255 upper and lower jaw fragments of Palaeostylops, five calcanea, three astragali, as well as the first known arctostyloid distal tibia. This new material was collected from the late Paleocene of the Flaming Cliffs area in Mongolia, in a single lens almost exclusively containing arctostyloid remains. Our study of the morphology and size of the new Palaeostylops dental material confirms the validity of two species, *P. iturus* and *P. macrodon*, and illustrates their morphological and biometrical variability and diagnostic differences. The distal tibia of Palaeostylops is relatively unspecialised and resembles the Asian gliriforms *Pseudictops* and *Rhombomylus*. We also review the relevance of the historically important genus Palaeostylops in view of other, more recently described but less abundant arctostyloid genera. Palaeostylops remains the reference taxon for the arctostyloid anterior dentition and postcranial morphology. For both anatomical regions, arctostylopids differ significantly from notoungulates, and present a mosaic of characters also seen in basal gliriforms. The notoungulate-like molars of Palaeostylops are highly specialized for arctostylopids and the arctostyloid molar morphotype is therefore better illustrated by the early middle Paleocene *Asiostylops*. This morphotype does not present any similarities to notoungulates, but shares a number of derived characters with basal gliriforms. Among gliriforms, the primitive arctostyloid morphotype is most similar to *Astigale* from the early Paleocene of South China, and we suggest that Arctostylopidae may therefore be more closely related to Astigalidae than to any other group.

1 **A large new collection of *Palaeostylops* from the Paleocene of the Flaming**  
2 **Cliffs area (Ulan-Nur Basin, Gobi Desert, Mongolia), and an evaluation of**  
3 **the phylogenetic affinities of Arctostylopidae (Mammalia, Gliriformes)**

4  
5 Pieter Missiaen <sup>a,b\*</sup>, Gilles Escarguel <sup>c</sup>, Jean-Louis Hartenberger <sup>d</sup>, Thierry Smith <sup>b</sup>

6  
7 <sup>a</sup> Research Unit Palaeontology, Ghent University, Krijgslaan 281-S8, B-9000 Ghent, Belgium

8 <sup>b</sup> Royal Belgian Institute of Natural Sciences, Rue Vautier 29, B-1000 Brussels, Belgium

9 <sup>c</sup> Laboratoire de Géologie de Lyon : Terre, Planètes, Environnement, UMR CNRS 5276,  
10 Université Lyon 1, Boulevard du 11 Novembre 1918, F-69622 Villeurbanne cedex, France

11 <sup>d</sup> Institut des Science de l'Evolution, UMR CNRS 5554, Université Montpellier 2, Place E.  
12 Bataillon, CC 064, 34095 Montpellier cedex 5, France

13

14 \* Corresponding author. E-mail address: Pieter.Missiaen@ugent.be

15

16 **Abstract**

17 Arctostylopids are enigmatic mammals known from the Paleocene and early Eocene of Asia  
18 and North America. Based on molar similarities, they have most often been grouped with the  
19 extinct Notoungulata from South and Central America, but tarsal evidence links them to  
20 Asian basal gliriforms. Although *Palaeostylops* is the best known arctostyloid genus, some  
21 points of its content and species level taxonomy are uncertain. Here we report 255 upper and  
22 lower jaw fragments of *Palaeostylops*, five calcanea, three astragali, as well as the first known  
23 arctostyloid distal tibia. This new material was collected from the late Paleocene of the  
24 Flaming Cliffs area in Mongolia, in a single lens almost exclusively containing arctostyloid  
25 remains. Our study of the morphology and size of the new *Palaeostylops* dental material  
26 confirms the validity of two species, *P. iturus* and *P. macrodon*, and illustrates their  
27 morphological and biometrical variability and diagnostic differences. The distal tibia of  
28 *Palaeostylops* is relatively unspecialised and resembles the Asian gliriforms *Pseudictops* and  
29 *Rhombomylus*. We also review the relevance of the historically important genus  
30 *Palaeostylops* in view of other, more recently described but less abundant arctostyloid  
31 genera. *Palaeostylops* remains the reference taxon for the arctostyloid anterior dentition and  
32 postcranial morphology. For both anatomical regions, arctostylopids differ significantly from  
33 notoungulates, and present a mosaic of characters also seen in basal gliriforms. The  
34 notoungulate-like molars of *Palaeostylops* are highly specialized for arctostylopids and the  
35 arctostyloid molar morphotype is therefore better illustrated by the early middle Paleocene  
36 *Asiostylops*. This morphotype does not present any similarities to notoungulates, but shares a  
37 number of derived characters with basal gliriforms. Among gliriforms, the primitive  
38 arctostyloid morphotype is most similar to *Astigale* from the early Paleocene of South China,  
39 and we suggest that Arctostylopidae may therefore be more closely related to Astigalidae than  
40 to any other group.

41

42 *Keywords:* Arctostylopidae; Gliriformes; Flaming Cliffs; Morphology; Biometry; Species

43 discrimination; Phylogeny

44

45

46 *In Memoriam* Demberel Dashzeveg, 1936 - 2010.

47

48

## 49 **1. Introduction**

50

51 The late Paleocene and early Eocene Arctostylopidae are diverse and typical elements of  
52 Asian mammal faunas, as well as exceedingly rare elements in North American faunas where  
53 they were first discovered (Cifelli et al 1989; Wang et al., 2007). Based on striking molar  
54 resemblances, arctostylopids were initially grouped with the South and Central American  
55 Notoungulata (Matthew, 1915). This grouping implies early Tertiary mammal dispersal  
56 between North and South America. Arctostylopids have therefore figured prominently in  
57 various intercontinental dispersal hypotheses (Patterson and Pascual, 1972; Cifelli, 1983;  
58 Gingerich, 1985).

59 In 1989, interest in arctostylopids was revived by a phylogenetic revision of the group by  
60 Cifelli et al (1989). This paper featured the first arctostyloid tarsal bones, and based on  
61 dental and tarsal morphology, Cifelli et al. (1989) placed Arctostylopidae in a new order  
62 Arctostylopida, distinct from Notoungulata and all other mammals. Thereby they also  
63 dismissed the faunal exchange between North and South America during the late Paleocene or  
64 early Eocene. Another part of their study handled the classification of the two best known  
65 arctostylopids, *Palaeostylops iturus* and *P. macrodon*. Since their discovery, these two species  
66 had always been reported to co-occur, both in Mongolian and Chinese late Paleocene sites  
67 (Matthew and Granger, 1925; Matthew et al., 1929; Russell and Zhai, 1987; Meng et al.,  
68 1998; but see Missiaen and Smith, 2008). This co-occurrence in otherwise species-poor  
69 communities, of two taxa differing only by their size seemed to suggest the presence of a  
70 single, sexually dimorphic species. Cifelli and co-authors raised the possibility of sexual  
71 dimorphism, but quickly dismissed it. Presenting a number of novel morphological  
72 differences between both forms, they concluded that they represented two distinct species and  
73 genera: *Palaeostylops iturus* and “*Gashatostylops*” *macrodon* (Cifelli et al.,1989).

74 Since then, the validity of a separate genus "*Gashatostylops*" has been accepted by some  
75 studies (Ting, 1998; Meng et al., 1998), and rejected by others (Kondrashov and Lucas, 2004;  
76 Ni et al., 2007; Missiaen and Smith, 2008; this paper). Similarly, some researchers have  
77 accepted the placement of Arctostylopidae in a separate order Arctostylopida (Ting, 1998;  
78 Zack, 2004; Wang et al., 2008), while others have suggested to group them with Notoungulata  
79 based on unpublished new material (Bloch, 1999) or a rebuttal of the arguments of Cifelli and  
80 co-workers (Kondrashov and Lucas, 2004). Missiaen et al. (2006) published additional  
81 arctostyloid tarsals, assigned to *Palaeostylops iturus* from Inner Mongolia and *Arctostylops*  
82 from North America. Based on the tarsal evidence, they supported the exclusion of  
83 Arctostylopidae from Notoungulata, and moreover placed the family Arctostylopidae within  
84 the superorder Gliriformes.

85 Here we report on the discovery of 255 upper and lower jaw fragments of arctostyloids  
86 recovered from a small sandy lens in the late Paleocene of the Flaming Cliffs area in  
87 Mongolia (Fig. 1). This collection contains specimens referable to both *Palaeostylops iturus*  
88 and *P. macrodon* based on dental morphology and measurements, and represents a large,  
89 single sample from the type area of both forms. This collection is therefore perfectly suited to  
90 study the morphological and size variability of both forms, and to assess whether they  
91 represent two genera, two species or even one sexually dimorphic species.

92 In addition to the abundant dental remains, this lens also yielded a limited number of  
93 postcranial elements, including the previously unknown arctostyloid distal tibia, which  
94 provides additional data for reconstructing the higher-level phylogenetic position of  
95 arctostyloids.

96 In view of more recently described but less well known arctostyloids and of the new  
97 hypotheses on arctostyloid evolution, we critically review the relevance of the historically

98 important and abundant *Palaeostylops* fossils from Gashato for our understanding of  
99 arctostylopid evolution

100

## 101 **2. Material and methods**

102 *Abbreviations:* AMNH, American Museum of Natural History, New York, USA; IVPP,  
103 Institute of Vertebrate Paleontology and Paleoanthropology, Beijing, China; IMM, Inner  
104 Mongolian Museum, Hohhot, China; MCZ, Museum of Comparative Zoology, Harvard  
105 University, Cambridge, Massachusetts, USA; MLP, Facultad de Ciencias Naturales y Museo  
106 de La Plata, División Paleontología de Vertebrados, Buenos Aires, Argentina; MPC-M  
107 Mongolian Paleontological Center-Mammal Collection, Academy of Sciences of Mongolia,  
108 Ulaanbaatar, Mongolia.

109

### 110 *2.1. Material*

111 The famous Flaming Cliffs area in Mongolia has long been known to yield abundant late  
112 Cretaceous (Djadokhta Formation) and early Paleogene (Khashat Formation = Gashato  
113 Formation) vertebrates (Matthew and Granger 1925). During fieldwork at the Gashato locality  
114 in 1999, a small fossiliferous sandy lens (<1 m<sup>3</sup>) in the late Paleocene Member 1 of the  
115 Khashat Formation was discovered and completely excavated and screenwashed by two of us  
116 (G.E. and J.-L.H.) and the late D. Dashzeveg (Fig. 1). Except for a few rare teeth of a large  
117 mixodont and one dentary fragment of a sarcodontid, the fossil mammal teeth in this lens  
118 belong exclusively to arctostylopids. A total of 730 teeth (canines, premolars and molars) in  
119 255 upper and lower jaw fragments were recorded, representing a minimum number of  
120 individuals (MNI) of 48. In addition, this lens yielded a very limited number of identifiable  
121 postcranial remains. These include 5 calcanea and 3 astragali, which are attributed to  
122 *Palaeostylops* based on their abundance and their close similarity to the previously published

123 tarsals of *P. iturus* (Missiaen et al., 2006). The distal part of a left tibia is also attributed to  
124 *Palaeostylops*, based on its articulation with the *Palaeostylops* tarsals. The distal part of a  
125 humerus can be attributed to the typical late Paleocene multituberculate *Lambdopsalis bulla*,  
126 which is not represented by dental specimens in this collection. Finally, a large phalanx and  
127 the proximal part of a femur could not be identified with certainty.

128 Among the arctostylopid dental remains, two different morphotypes can be recognised,  
129 corresponding to *P. iturus* and *P. macrodon* as originally described from this area (Matthew  
130 and Granger, 1925; Matthew et al., 1929). When possible, dental remains were attributed to  
131 either of both morphs based on the enlarged M2/m2, the only criterion universally accepted as  
132 diagnostic between both forms (Matthew et al., 1929; Cifelli et al. 1989; Kondrashov and  
133 Lucas, 2004; Missiaen and Smith, 2008). Using this method, 111 of the 255 upper and lower  
134 jaw fragments, representing 376/730 teeth and a MNI of 32, were unambiguously identified as  
135 *P. iturus*, whereas 40/255 jaw fragments, representing 154/730 teeth and a MNI of 14, were  
136 identified as *P. macrodon*.

137

## 138 2.2 Biostatistical analyses

139 Parallel to the comparative analysis of cheek tooth morphologies, all arctostylopid teeth in  
140 this collection were measured using a binocular microscope with a graded eyepiece with a  
141 precision of 0.1 mm. Length and/or width was determined for 697/730 measurable teeth, of  
142 which 497 were unambiguously identified as either *P. iturus* or *P. macrodon*. In order to  
143 quantitatively describe and compare length and width measurements for each available cheek  
144 tooth position, we computed standard statistics using PAST v. 2.01 (Hammer et al., 2001),  
145 including: (i) usual univariate descriptive statistics, (ii) bivariate (Doornik and Hansen  
146 omnibus) tests for normality, (iii) Kolmogorov-Smirnov nonparametric test for two-sample  
147 univariate distribution comparison, and (iv) Wilks'  $\lambda$  test for multigroup multivariate

148 comparison (here, 2 groups [*P. iturus* and *P. macrodon*] and 2 variables [length and width  
149 cheek tooth dimensions]). Computation of two-group bivariate Wilks'  $\lambda$  (formally identical to  
150 an Hotelling's  $T^2$ -test) was preferred to the more usual combination of two univariate Student  
151  $t$ -tests because several univariate distributions show significant departure from normality  
152 (results not shown), whereas all but one cheek tooth positions (*P. iturus*' P4) appear bi-  
153 normally distributed at the 95% confidence level (Table 1). Thus, based on the available  
154 sample distributions, the association of bivariate Wilks'  $\lambda$  with univariate Kolmogorov-  
155 Smirnov statistics offers the best possible compromise between power and robustness in order  
156 to test for significance both sample mean and individual distribution differences for each  
157 position.

158 We further investigated proportional differences in the lengths and widths of upper and  
159 lower cheek teeth between *P. iturus* and *P. macrodon* through:  
160 - the computation of Simpson's (1941; see Simpson et al., 1960) Log-ratios, using *P. iturus* as  
161 the reference sample. A two-step Monte Carlo procedure (parametric bootstrap) allowed us to  
162 estimate: (i) the confidence intervals around the observed Log-Ratio values for *P. macrodon*,  
163 and (ii) the expected distributions of Log-Ratio values under the null hypothesis that *P. iturus*  
164 and *P. macrodon* share the same tooth dimensions (see Appendix A for computational  
165 details);  
166 - the construction of bivariate scatterplots linking first and second upper or lower molars areas  
167 (estimated by a simple length  $\times$  width product) coupled with one-way analysis of covariance  
168 (ANCOVA; Sokal & Rohlf, 1995) in order to test for equality of the second molar size when  
169 adjusted for covariance with the first molar size (regarded as a first-order proxy of overall  
170 dental size). Determination of the optimal M2/m2 surface cut-off value between *P. iturus* and  
171 *P. macrodon* follows Favre et al.'s (2008) method for determining the critical value  $\zeta$  for

172 which the joint prediction error-risk of incorrectly attributing any specimen to one of the two  
173 groups is minimal.

174 Finally, in order to better characterize the taxonomic status of the studied fossil  
175 assemblage, we computed various complementary metrics focusing on distinct aspects of the  
176 sample distributions of three dental measurements: length (L), width (W) and  $\ln(L \times W)$  of  
177 the P3/p3 to M3/m3 of all measured *Palaeostylops* teeth, and measured teeth a priori assigned  
178 to *P. iturus* or to *P. macrodon* (see Appendix B for computational details). Two metrics, the  
179 unbiased coefficient of variation ( $V^*$ ; Sokal & Rohlf, 1995) and the bimodality index ( $b$ ; Der  
180 & Everitt, 2002), focus on the relative variability and shape of the sample distributions. Two  
181 other techniques, the dimorphism ratio of the “method-of-moments (MoM)” technique ( $D$ ;  
182 Josephson et al., 1996) and maximum likelihood mixture analysis coupled with an evidence  
183 ratio-based model selection procedure (Titterington et al., 1985; Burnham & Anderson, 2002;  
184 Johnson & Omland, 2004), aim to estimate the dimorphism ratio involved by the available  
185 data. Ratios estimated for the “all-*Palaeostylops*” samples were compared to the expected  
186 ratios directly calculated from measured teeth a priori assigned to *P. iturus* or *P. macrodon*.

187

### 188 **3. Dental morphology, size variation and species discrimination of the Flaming Cliffs** 189 **arctostylopids**

190 In the original description, *P. macrodon* was diagnosed as follows: “Cheek tooth series about  
191 20 per cent longer than in *P. iturus*, molars relatively narrower, M2/m2 larger relative to other  
192 teeth” (Matthew et al., 1929: p.11). In their revision of arctostylopids, Cifelli and co-authors  
193 thought the difference between both forms was important enough to deserve a distinction at  
194 the genus level, and noted in their diagnosis of “*Gashatostylops*” *macrodon*: “... differing  
195 from *Palaeostylops*, the most closely similar genus, in having relatively enlarged upper and  
196 lower second molars; in having cuspules, variable in number and development, on the lingual

197 cingula of the upper molars; in the weakness or absence of a sulcus separating the lingual  
198 cusps of M1; in the presence of two rather than three upper incisors; and in having a laterally  
199 constricted snout, with the dental arcade multiply curved” (Cifelli et al., 1989: p. 15).

200 In the following sections we will evaluate how these differences apply to the arctostyloids  
201 reported here from the Flaming Cliffs area, and what the implications are for the taxonomic  
202 status of both forms.

203

### 204 *3.1. Morphological variability*

205 Although the upper molars assigned to *P. macrodon* generally have stronger lingual cuspules  
206 (Fig. 2(7, 9)) than those assigned to *P. iturus* (Fig. 2(5, 6)), these cuspules are sometimes  
207 rather well developed in *P. iturus* (Fig. 2(1, 3)), and moderately weak in *P. macrodon* (Fig.  
208 2(8, 11)). Similarly, although many specimens of *P. macrodon* have only a weak lingual  
209 sulcus on M1 (Fig. 2(7, 9-11)) and many *P. iturus* M1s have a better developed sulcus (Fig.  
210 1(2, 5)), there is also an important variation and overlap for this character with a marked  
211 sulcus in some specimens of *P. macrodon* (Fig. 2(8)) and only a weak one in some *P. iturus*  
212 specimens (Fig. 2(4, 6)).

213 Based on an uncatalogued IVPP specimen attributed to *P. macrodon*, Cifelli et al. (1989)  
214 concluded that this form had only two incisors and a multiply curved dental arcade. However,  
215 the specimen concerned is damaged in front of the root of I2, and therefore does not  
216 adequately establish the presence of only two incisors in *P. macrodon*. The apparent  
217 constriction of the snout and the curved dental arcade in this specimen may be the result of a  
218 break in the maxillary at the level of P3. In all of the *P. macrodon* specimens in our collection  
219 for which this region is present, the dental arcade is straight and the snout is not constricted  
220 (Fig. 2(8, 10)), exactly similar to the shape of the dental arcade in *P. iturus* (Fig. 2(2, 5, 6)).

221

222 3.2. Biometric variability

223 Tooth measurements confirm that on average *P. macrodon* is indeed larger than *P. iturus*  
224 (Table 1; Suppl. Fig. S1), as further evidenced by inter-sample comparisons of univariate  
225 (length or width) distributions and bivariate (length  $\times$  width) means (Table 2). While the  
226 Wilks'  $\lambda$  tests unambiguously support differences between *P. iturus* and *P. macrodon* length  
227  $\times$  width means for each analysed cheek tooth position, a strong individual size overlap  
228 appears between the two forms, especially for premolars, leading to non-significant  
229 Kolmogorov-Smirnov test results in those cases. Only molars, and most particularly M2 and  
230 m2 allow unambiguous individual distinction between the two forms, due to the enlargement  
231 of this tooth locus in *P. macrodon*.

232 Simpson diagrams for upper and lower cheek teeth show that all but M2/m2 length and width  
233 dimensions vary isometrically between *P. iturus* (used as the reference sample) and *P.*  
234 *macrodon*, indicating that beyond size differences, the two species show significant  
235 proportional differences only in their upper and lower second molars (Fig. 3(A)). On average,  
236 *P. macrodon*'s upper and lower cheek tooth dimensions (excepted M2/m2) appear 11% and  
237 17% larger than *P. iturus*' ones, respectively. While Matthew et al. (1929) indicated that *P.*  
238 *macrodon* shows molars that are relatively narrower than *P. iturus*, this fact is actually  
239 evidenced only for lower cheek teeth and the second upper molar.

240 The bivariate scatterplots linking first and second upper or lower molars areas illustrate the  
241 proportional differences in the second/first molar size relationship between *P. iturus* and *P.*  
242 *macrodon* (Fig. 3(B)). Considering the first molar size as a first-order surrogate of the overall  
243 dentition size (in accordance with the isometric relations identified by the Log-ratio  
244 diagrams), intermediate sized individuals of the two species (i.e., large *P. iturus* and small *P.*  
245 *macrodon* specimens) show significantly distinct second molar areas, with optimal cut-off  
246 surface values between the two forms at 15.1 mm<sup>2</sup> for M2 and 8.1 mm<sup>2</sup> for m2. The one-way

247 ANCOVAs confirm that the larger size of the second molar in *P. macrodon* is not an  
248 isometric byproduct of larger individual size in this group:  
249 - M2 differences between adjusted means:  $F = 157.1$ ; d.f. = 1, 67;  $p = 3.15 \times 10^{-19}$   
250 (homogeneity of slopes:  $F = 1.477$ ;  $p = 0.229$ , with isometric relations in both cases based on  
251 Reduced Major Axis slopes  $a$ :  $a_{P. iturus} = 0.95 \pm 0.117$ ,  $p_{a=1} = 0.67$ ;  $a_{P. macrodon} = 1.15 \pm 0.196$ ,  
252  $p_{a=1} = 0.44$ );  
253 - m2 differences between adjusted means:  $F = 49.5$ ; d.f. = 1, 39;  $p = 1.91 \times 10^{-8}$  (homogeneity  
254 of slopes:  $F = 3.187$ ;  $p = 0.082$ , with isometric relations in both cases based on Reduced  
255 Major Axis slopes  $a$ :  $a_{P. iturus} = 1.45 \pm 0.227$ ,  $p_{a=1} = 0.06$ ;  $a_{P. macrodon} = 0.75 \pm 0.201$ ,  $p_{a=1} =$   
256  $0.24$ ).

257 Thus, while the individual size of the first and second upper and lower molars covaries  
258 isometrically within both groups, the size of the second upper and lower molars show a  
259 significant between-group proportional difference, making it a powerful size-free discriminant  
260 parameter between *P. iturus* and *P. macrodon*.

261 To further investigate the taxonomic status of both forms, we finally considered four  
262 complementary metrics focusing on distinct aspects of the sample distributions (Table S1; see  
263 Section 2.2. and Appendix B for details). At the all-*Palaeostylops* sample level (1<sup>st</sup> result  
264 column in Table S1), and whereas all the expected dimorphism ratios (based on the specimens  
265 assigned a priori to *P. iturus* and *P. macrodon*, respectively) are significantly larger than one  
266 at the 95% confidence level, the “method-of-moment (MoM)” and mixture analysis  
267 techniques only performed well in estimating the expected dimorphism ratio values for  
268 M1/m1 and M2/m2. In these cases, taking into account their associated 95% confidence  
269 intervals, the unbiased coefficient of variation ( $V^*$ ) exceeds 10% and the bimodality index ( $b$ )  
270 exceeds 0.555 almost everytime, indicating high level of within-sample variability and  
271 distributions far from unimodality. Failure to satisfactorily recover a 2-group structure in

272 premolars and M3/m3 is most likely due to strong individual size overlap (Table 2) and  
273 marked abundance differences (Table 1) between the two forms. The latter point also  
274 probably explains why the mixture analysis outperformed the MoM technique in several  
275 M1/m1 and M2/m2 cases (Appendix B).

276 At the *P. iturus* or *P. macrodon* sample level (2<sup>nd</sup> and 3<sup>rd</sup> result columns in Table S1,  
277 respectively),  $V^*$  and  $b$ -values appears globally much lower, indicating relatively low levels  
278 of variability ( $V^* = \sim 6\%$  on average,  $< 10\%$  in almost all cases) and unimodal distributions  
279 ( $b < 0.555$  in most cases). Nevertheless, dimorphism ratio values significantly larger than 1  
280 are estimated by the MoM and/or the mixture analysis techniques in several cases. Most  
281 particularly, *P. iturus*' M2, M3 and m1, and *P. macrodon*'s M1-M3 and m1 areas show a size-  
282 dimorphism, with estimated dimorphism ratios ranging between 1.05 and 1.15. Remarkably,  
283 in all eight cases where dimorphism is detected by mixture analysis, the large-size group  
284 appears less abundant than the small-size one, returning an average abundance ratio of 1:2  
285 between the two groups. Observation of a possible dimorphism in the upper and lower molars  
286 is especially noteworthy, as the size of these cheek teeth strongly covaries with overall body  
287 size in almost all extant mammal groups (e.g., Creighton, 1980; Gingerich et al., 1982;  
288 Legendre, 1989; Janis, 1990). This may indicate that a body-size dimorphism, possibly of  
289 sexual origin with an estimated sex-ratio of about 1 male (?) for 2 females (?), did exist within  
290 *P. iturus* as well as *P. macrodon*, which in turn cannot be considered as two sexual morphs of  
291 the same biological species.

292

### 293 3.3. Taxonomic implications

294 Because several of the dimorphism ratios at the *P. iturus* or *P. macrodon* sample level are  
295 significantly larger than 1 (Table S1), the results of our biometric analysis suggest the  
296 occurrence of a body-size dimorphism, possibly of sexual origin within the two forms. These

297 results are therefore not compatible with an interpretation of the two forms as males and  
298 females of a single dimorphic species. This interpretation of the two forms as two distinct taxa  
299 is corroborated by results from other late Paleocene sites, where both forms have been shown  
300 to occur with distinctly different ratios in different levels (Kondrashov, 2002) or where only  
301 one of the two forms was present (Missiaen and Smith, 2008).

302 Our biometric analysis shows that *P. macrodon* is clearly larger than *P. iturus*, but with an  
303 important inter-individual variability in both groups, leading to strong distribution overlaps in  
304 all but M2/m2 cheek tooth positions (Tables 1 and 2). In *P. macrodon*, the upper and lower  
305 second molars are disproportionately enlarged compared to *P. iturus* (Fig. 3(A)) and M2/m2  
306 areas appear as powerful size-free discriminant parameters between *P. iturus* and *P.*  
307 *macrodon*, with optimal cut-off surface values between the two forms at 15.1 mm<sup>2</sup> for M2 and  
308 8.1 mm<sup>2</sup> for m2 (Fig. 3(B)). These results reflect the original description by Matthew et al.  
309 (1929), who diagnosed *P. macrodon* from *P. iturus* based only on size differences. Some of  
310 the morphological characters that have been noted to distinguish both forms, such as the shape  
311 of the dental arcade and the number of incisors are shown here to be not diagnostic. For  
312 others, such as the presence of lingual cuspules on the upper molars and of a lingual sulcus on  
313 M1, there typically is a difference between specimens assignable to each form, but these  
314 characters also show considerable variability and overlap. The similarity between both species  
315 is also clearly illustrated by the fact that in this collection of 255 upper and lower jaw  
316 fragments, only 151 (<60%) can be unambiguously assigned to either species. We therefore  
317 conclude that differences between the two forms are minor and do not require a generic level  
318 distinction. We continue to consider *P. iturus* and *P. macrodon* as separate, closely related  
319 species within a single genus, and we confirm “*Gashatostylops*” as a junior synonym of  
320 *Palaeostylops*.

321

322 **4. Postcranial remains from the Flaming Cliffs arctostyloids**

323

324 *4.1. Tarsal bones*

325 The collection from the Flaming Cliffs contains five calcanea and three astragali of  
326 *Palaeostylops*, which are closely similar to the better preserved tarsals of *P. iturus* described  
327 from the late Paleocene Subeng site in Inner Mongolia (Missiaen et al., 2006). Although there  
328 is some size variation, the poor preservation and the limited number of these specimens does  
329 not allow us to distinguish two distinct morphotypes. Because of this, these tarsal bones are  
330 identified as *Palaeostylops*, but are not assigned to either *P. iturus* or *P. macrodon*.

331

332 *4.2. Distal tibia*

333 The collection from the Flaming Cliffs also yielded the distal part of a left tibia of  
334 *Palaeostylops*, the first ever identified in arctostyloids. This arctostyloid distal tibia has a  
335 generalised morphology. Preservation and breakage, especially of the laterodistal corner of  
336 the bone, limit the number of observable diagnostic features (Fig. 4). The medial malleolus of  
337 the tibia is small but distinct. The lateral astragalotibial facet is about as wide as it is long, and  
338 is saddle-shaped, with an anteroposteriorly oriented middle ridge separating the larger medial  
339 cavity from the smaller lateral cavity. The most remarkable feature is the presence of an  
340 incipient tibial posterior process, located posteromedial to this middle ridge.

341 The tibial morphology of Paleocene and early Eocene notoungulates is poorly known but a  
342 Casamayoran (middle? to late Eocene) notoungulate distal tibia was published by Shockey  
343 and Flynn (2007). This specimen differs from the *Palaeostylops* tibia by the larger and more  
344 oblique medial malleolus, by the less saddle-shaped lateral astragalotibial facet and by the  
345 absence of tibial posterior process. The tibial morphology of several basal gliriforms and  
346 glires has been documented, and at least some of these taxa share similarities with

347 *Palaeostylops*. As shown in Figure 5, the tibial morphology of *Palaeostylops* is similar to that  
348 of *Pseudictops* and *Rhombomylus*, two taxa that were also shown to have a similar tarsal  
349 morphology (Missiaen et al., 2006). *Palaeostylops* shares the pronounced saddle shape of the  
350 lateral astragalotibial facet with *Pseudictops*, and the presence of an incipient tibial posterior  
351 process with *Rhombomylus*, while the morphology of the medial malleolus in *Palaeostylops* is  
352 intermediate between that of *Pseudictops* and *Rhombomylus*. A distinct malleolus is a  
353 primitive feature of several gliriform taxa, including pseudictopids, eurymylids, alagomyids  
354 and ischyromyids, but is lost in true lagomorphs and advanced rodents (Meng et al. 2003). A  
355 tibial posterior process is a derived character of rodents, but an incipient process is also seen  
356 in *Rhombomylus* and *Mimolagus*, but also as in various other taxa including *Solenodon*,  
357 *Eomanis*, leptictids, palaeandonts and pantolestids (Szalay, 1985; Meng et al., 2003, Rose,  
358 1999, Rose and Lucas, 2000, Horovitz et al., 2005, Rose and von Koenigswald, 2005).  
359 Therefore, although this tibial morphology does not offer any conclusive evidence, it does add  
360 support to the idea that arctostylopids are not notoungulates but instead are basal members of  
361 the Asian gliriform radiation.

362

### 363 **5. Relevance of *Palaeostylops* for the phylogenetic affinities of arctostylopids**

364

365 The first studies of *Arctostylops* from the North American Clark Fork Basin and of  
366 *Palaeostylops* from the Mongolian Ulan-Nur Basin (Matthew, 1915; Matthew and Granger,  
367 1925) left very little room to doubt the surprising conclusion that these arctostylopids were  
368 related with South American notoungulates. Despite the discovery of numerous other  
369 arctostylopids, the sheer abundance of *Palaeostylops* specimens from Gashato and  
370 contemporary Asian mammal sites has meant that *Palaeostylops* remained an important  
371 reference taxon in phylogenetic comparisons (Cifelli et al., 1989; Kondrashov and Lucas,

372 2004; Missiaen et al., 2006). In the following paragraphs, we review the significance of  
373 *Palaeostylops* for the study of arctostyloid evolution based on its anterior dentition, molars,  
374 and postcrania. By integrating this information with that on other, less abundant arctostyloid  
375 taxa and on notoungulates, we support the hypothesis that arctostyloids are basal gliriforms  
376 and that the similarities with notoungulates are the results of convergent evolution. We  
377 present the novel suggestion that within the basal gliriforms, arctostyloids are most closely  
378 related to the poorly known Astigalidae.

379

### 380 *5.1. Anterior dentition*

381 The arctostyloid anterior dentition was first known from *Palaeostylops* specimens found at  
382 Gashato (Matthew and Granger, 1925; Matthew et al., 1929) (Fig. 6(3)) and more recent  
383 descriptions of the anterior dentition in other arctostyloids (Cifelli et al., 1989; Tong and  
384 Wang, 2006) have not significantly altered the characterisation of the anterior dentition in  
385 Arctostylopidae (Fig. 7). In all known arctostyloids, the anterior dentition forms an evenly  
386 graded, complete dental series, without conspicuous canines and without diastemata (Cifelli et  
387 al., 1989).

388 The anterior dentition of notoungulates varies considerably, but most groups have at least  
389 partly molarised premolars and often large diastemata are present (Simpson, 1948). A  
390 complete, evenly graded dentition is only seen to some extent in the primitive  
391 Henricosborniidae and more strongly in the advanced tyotheriid family Interatheriidae  
392 (Simpson, 1948; Cifelli, 1993). In contrast, such a complete, evenly graded morphology is  
393 present in the basal gliriform families Anagalidae, Pseudictopidae and Astigalidae (Zhang and  
394 Tong, 1981; Meng et al., 2003).

395 A second characteristic of the arctostyloid anterior dentition are the serially multicuspoid,  
396 blade-like lower antemolar teeth, which again are different from those of all Notoungulata but

397 similar to the lower incisors and anterior premolars of the basal gliriforms *Pseudictops* (Fig.  
398 6(1)). In fact, this similarity of the anteriormost lower teeth led Matthew and Granger (1925)  
399 to describe specimen AMNH 20426 from Gashato as a p1 of an unknown, larger species of  
400 *Palaeostylops* (Fig. 6(2)), whereas this specimen was later re-identified as a p1 of *Pseudictops*  
401 (Sulimski, 1968).

402

### 403 5.2. Molars

404 The lower molars of arctostyloids were first known from *Arctostylops* (Fig. 7(5)) from the  
405 Clark Fork Basin, the upper molars from *Palaeostylops* from Gashato (Fig. 7(3)), and  
406 immediately the arctostyloid molar dentition was considered distinct and highly specialized,  
407 different from all northern taxa known at that time and only similar to notoungulates  
408 (Matthew, 1915). Since these first discoveries of arctostyloids, numerous other forms have  
409 been described (Zheng, 1979; Zheng and Huang, 1986; Huang and Zheng, 1997, 2003; Zack,  
410 2004; Tong and Wang, 2006; Wang et al., 2008), both from strata that are significantly older  
411 and significantly younger than those yielding *Palaeostylops* or *Arctostylops* (Wang et al.,  
412 1998; Ting 1998; Missiaen, 2011).

413 Based on the quadrate upper molars with distinct parastyle, smooth ectoloph and strong  
414 protoloph and metaloph, and the biselenodont lower molars with extremely reduced trigonids  
415 and distinct entolophids, *Arctostylops* and *Palaeostylops* were judged to be an aberrant group  
416 of notoungulates (Matthew, 1915; Matthew and Granger, 1925).

417 However, all of these features are much less developed or completely absent in the  
418 arctostyloids from older strata. *Asiostylops* from the early Nongshanian (early middle  
419 Paleocene) Lannikeng Member of the Chijiang Formation is the oldest known arctostyloid  
420 (Missiaen, 2011), and its molar morphology (Fig. 7(2)) is much less specialized than that of  
421 *Palaeostylops*. The upper molars of *Asiostylops* differ from those of younger arctostyloids by

422 the more triangular shape with a distinct lingual protocone and posterolingual talon shelf, by  
423 only a very faint indication of a metaconule and a hypocone, and by the weaker ectolophs  
424 with a smaller parastyle and an unreduced paracone and metacone. The lower molars of  
425 *Asiostylops* differ from those of younger arctostyloids such as *Palaeostylops* by the  
426 unreduced trigonid with a distinct paracristid, protoconid and metaconid, by the lower and  
427 less smooth ectolophid and by only an incipient development of an entolophid. The oldest  
428 arctostyloid *Asiostylops* therefore also clearly has the most primitive molar morphology of  
429 all arctostyloids, and can itself be readily derived from a primitive mammal with  
430 tribosphenic teeth.

431 The question of whether the strong posterolingual cusp on M1 and M2 of notoungulates is a  
432 true hypocone or whether it is a pseudohypocone as it is in arctostyloids (Cifelli et al., 1989;  
433 Kondrashov and Lucas, 2004) is therefore not relevant for the evolutionary origin of  
434 Arctostyloidae. The quadrate shape with a strong pseudohypocone reminiscent of  
435 notoungulates is absent or weak in the oldest and most primitive arctostyloids, as well as in  
436 many of the youngest taxa (Fig. 7(4)). It is only in the evolution towards the *Arctostylops* and  
437 *Palaeostylops* type of dentition (Tong and Wang, 2006), that the upper molars become fully  
438 quadrate and develop a large pseudohypocone, but even within the genus *Palaeostylops* where  
439 the pseudohypocone is the most clearly developed, its development is variable, as shown  
440 above (Fig. 2).

441 The primitive arctostyloid molar morphotype as seen in the low-crowned and incipiently  
442 lophodont *Asiostylops* lacks all features originally used to link arctostyloids with  
443 notoungulates and neither does it present any of the diagnostic molar features of  
444 notoungulates (Simpson 1948, 1967). This means there is no longer any support for the  
445 hypothesis that arctostyloids were derived from notoungulates. Conversely, in some  
446 arctostyloids, most notably *Palaeostylops* and *Arctostylops*, molar characters such as the

447 high and smooth ectoloph and ectolophid, quadrate upper molars and lower molars with a  
448 short, reduced trigonid and a distinct entolophid may seem reminiscent of notoungulates such  
449 as *Leontinia* or *Notostylops* (Fig. 7(8)) but are not shared with all notoungulates including the  
450 Henricosborniidae, the most primitive notoungulate family (Fig. 7(6,7)). Notoungulates  
451 therefore cannot be derived from a *Palaeostylops*-like ancestor, and similarities between both  
452 groups evolved independently, representing a remarkable case of convergent evolution.  
453 The primitive arctostyloid molar morphotype however does share a number of similarities  
454 with basal gliriforms (Anagalidae, Pseudictopidae and Astigalidae). Basal gliriform  
455 synapomorphies include reduction or loss of the upper molar stylar shelf, the partial fusion of  
456 the paracone and metacone, the development of a distinct precingulum and postcingulum  
457 without hypocone, and the partial reduction of the lower molar trigonid (Hu, 1993; Tong and  
458 Wang, 2006), all of which are also present in arctostyloids. Basal gliriform upper molars  
459 further resemble those of primitive arctostyloids by the weak upper molar conules, the  
460 development of distinct crests running from the protocone to the paracone and metacone and  
461 by undergoing heavy wear. Among these basal gliriforms, the early Paleocene *Astigale*  
462 (Fig.8(1)) and *Zhujegale* from the poorly known Asian family Astigalidae (Zhang and Tong,  
463 1981) seem to have the strongest morphological similarities with Arctostyloidae, and thus  
464 possibly the closest phylogenetic affinities. While pseudictopids and anagalids have  
465 transversely elongated upper molars, early Paleocene astigalids have more nearly square  
466 upper molars with stronger lingual cingula. Similarly, these primitive astigalids have a less  
467 reduced and less anteroposteriorly compressed lower molar trigonid, and are less unilaterally  
468 hypsodont than other basal gliriforms. Astigalidae however differ from Arctostyloidae by the  
469 larger canines, the larger upper molar hypocones and the absence of an entolophid or a strong  
470 ectolophid on the lower molars.

471

### 472 5.3. Postcrania

473 Detailed studies of arctostyloid postcrania have been limited to the astragalus and calcaneum  
474 of *Palaeostylops* and *Arctostylops* (Cifelli et al., 1989; Missiaen et al., 2006), although the  
475 *Arctostylops* tarsals are in fact part of a partial skeleton (Bloch, 1999). Our new *Palaeostylops*  
476 collection from Gashato yielded the first *Palaeostylops* tibia. None of the arctostyloid  
477 postcrania known so far present any diagnostic characters or typical synapomorphies of  
478 notoungulates. Instead, they share a mosaic of derived characters with primitive gliriforms,  
479 most notably the genera *Pseudictops* and *Rhombomylus*. This has led to the hypothesis that  
480 Arctostyloidae are not related to Notoungulata, but instead are basal members of the Asian  
481 gliriform radiation (Cifelli et al., 1989, Missiaen et al., 2006) which is further supported by  
482 the *Palaeostylops* tibia reported here from Gashato.

483

### 484 6. Conclusions

485 The abundant arctostyloid dental remains reported here come from a large single sample  
486 from the type area of *Palaeostylops*. They can be divided into two closely similar  
487 morphotypes that correspond well with *Palaeostylops iturus* and *P. macrodon* as originally  
488 published (Matthew and Granger, 1925; Matthew et al., 1929). Both morphotypes however  
489 display an important and overlapping morphological variation. The biometrical analysis of the  
490 new material confirms the existence of significant absolute and relative cheek teeth size  
491 differences between the two forms. Because our study of morphological and biometrical  
492 variability is most consistent with the interpretation of both forms as separate species in a  
493 single genus, we identify these two morphotypes as *P. iturus* and *P. macrodon*, and confirm  
494 “*Gashatostylops*” as a junior synonym of *Palaeostylops*.

495 The material reported here also includes new postcranial remains, including the first

496 *Palaeostylops* tarsals known from Mongolia and the distal part of the previously unknown

497 *Palaeostylops* tibia. The morphology of this partial tibia is not highly specialised, but does  
498 present similarities with the primitive gliriforms *Pseudictops* and *Rhombomylus*, such as a  
499 small but distinct medial malleolus, a saddle-shaped lateral astragalotibial facet and an  
500 incipient tibial posterior process.

501 Historically, the Gashato area was the second region where arctostyloid fossils were found,  
502 and because of the abundance of these fossils, *Palaeostylops* has remained an important taxon  
503 for phylogenetic comparisons. In the light of more recent discoveries of other arctostyloid  
504 fossils, we critically review the importance of *Palaeostylops* for the understanding of the  
505 phylogeny of the family. *Palaeostylops* arguably remains the best available source of  
506 information on the arctostyloid anterior dentition. The evenly graded, complete dentition of  
507 arctostyloids with their characteristic serially multicuspid, blade-like lower premolars is  
508 unlike that of notoungulates, but does resemble that of basal gliriforms. Although the molar  
509 morphology of *Palaeostylops* presents some similarities with South American Notoungulata,  
510 more recently discovered stratigraphically older and morphologically more primitive  
511 arctostyloids show that these similarities arose independently in both groups. The primitive  
512 arctostyloid molar morphotype is therefore better exemplified by the early middle Paleocene  
513 *Asiostylops*. We show that this morphotype exhibits a number of gliriform synapomorphies  
514 and that, among basal gliriforms, Arctostylopidae seem most similar to the poorly known  
515 early Paleocene family Astigalidae.

516

### 517 **Acknowledgements**

518 The authors thank Lilian Bergqvist (UFRJ, Rio de Janeiro), Judy Galkin and Carl Mehling  
519 (AMNH, New York), and Christian de Muizon and Claire Sagne (MNHN, France) for access  
520 to reference material. Gregg Gunnell (University of Michigan, Ann Arbor), Nathalie Van  
521 Hoey and Wilfried Misser (RBINS, Brussels) assisted during the photography of some of the

522 specimens. Khishigjav Tsogtbaatar (MPC, Ulaanbaatar) helped with cataloguing the  
523 specimens. Chris Beard (Carnegie Museum of Natural History, Pittsburgh), Rodolphe Tabuce  
524 (Université Montpellier II, Montpellier) and one anonymous reviewer commented and  
525 improved the manuscript of this paper. Pieter Missiaen is a Postdoctoral Fellow of the  
526 Research Foundation Flanders – FWO Vlaanderen. Monte Carlo computations of Fig. 3  
527 achieved with the IDL-program SIST (Escarguel, 2008; available on request to G.E.  
528 [gilles.escarguel@univ-lyon1.fr]). We dedicate this paper to the late Demberel Dashzeveg  
529 (1936-2010), who was a pioneer in Mongolian and international paleontology for almost 50  
530 years, and part of the field team who found the *Palaeostylops* fossils published here.

531

#### 532 **Appendices A, B. Supplementary material**

533 Supplementary material (appendices A, B, Table S1 and Fig. S1) associated with this article  
534 can be found, in the online version, at doi:

535

536

#### 537 **References**

- 538 Bloch, J.I., 1999. Partial skeleton of *Arctostylops* from the Paleocene of Wyoming:  
539 arctostylopid-notoungulate relationship revisited. *Journal of Vertebrate Paleontology* 19,  
540 32A.
- 541 Burnham, K. P., Anderson, D. R., 2002. Model selection and multi-model inference: a  
542 practical information-theoretic approach. Springer-Verlag, New York, USA.
- 543 Cifelli, R.L., 1983. Eutherian tarsals from the late Paleocene of Brazil. *American Museum*  
544 *Novitates* 2761, 1-31.

545 Cifelli, R.L., Schaff, C.R., McKenna, M.C., 1989. The relationships of the Arctostylopidae  
546 (Mammalia): new data and interpretation. *Bulletin of the Museum of Comparative*  
547 *Zoology* 152, 1-44.

548 Cifelli, R.L., 1993. The Phylogeny of the Native South American Ungulates, in: Szalay, F.S.,  
549 Novacek, M.J., and McKenna, M.C. (Eds.), *Mammal Phylogeny: Placentals*. Springer  
550 Verlag, New York, pp. 195-216 .

551 Creighton, G.K., 1980. Static allometry of mammalian teeth and the correlation of the tooth  
552 size and the body size in contemporary mammals. *Journal of Zoology, London* 191, 435-  
553 443.

554 Der, G., Everitt, B.S., 2002. *A handbook of statistical analyses using SAS*, 2nd ed. Chapman  
555 & Hall/CRC, Boca Ratón, CA.

556 Escarguel, G., 2008. *Macroécologie en temps profond: motifs, rythmes et modalités des*  
557 *changements de biodiversité à l'échelle des temps géologiques*. Mémoire pour le Diplôme  
558 d'Habilitation à Diriger des Recherches, Université Claude Bernard Lyon 1 (inédit), 428  
559 p.

560 Gingerich, P.D., 1981. Variation, sexual dimorphism, and social structure in the early Eocene  
561 horse *Hyracotherium* (Mammalia, Perissodactyla). *Paleobiology* 7, 443-455.

562 Gingerich, P.D. 1985. South American Mammals in the Paleocene of North America, in:  
563 Stehli F.G., Webb, S.D. (Eds.), *The Great American Biotic Interchange*. Plenum Press,  
564 New York, pp. 123-137.

565 Gingerich, P.D., Smith, B.H., Rosenberg, K., 1982. Allometric scaling on the dentition of  
566 primates and prediction of body weight from tooth size in fossils. *American Journal of*  
567 *Physical Anthropology* 58, 81-100.

568 Hammer Ø., Harper D.A.T., Ryan P.D., 2001. PAST: Paleontological Statistics Software  
569 Package for Education and Data Analysis. *Palaeontologia Electronica* 4, 9 p.

570 Holm S., 1979. A simple sequentially rejective multiple test procedure. Scandinavian Journal  
571 of Statistics 6, 65-70.

572 Horovitz, I., Storch, G., Martin, T., 2005. Ankle structure in Eocene pholidotan mammal  
573 *Eomanis krebsi* and its taxonomic implications. Acta Palaeontologica Polonica 50, 545-  
574 548.

575 Hu, Y., 1993. Two new genera of Anagalidae (Anagalida, Mammalia) from the Paleocene of  
576 Qianshan, Anhui and the phylogeny of anagalids. Vertebrata Palasiatica 31, 153-181.

577 Huang, X.-S. 2003 Mammalian remains from the late Paleocene of Jiashan, Anhui. Vertebrata  
578 Palasiatica 41, 42-54.

579 Huang X S, Zheng J J, 1997. A new Tillodont from the Paleocene of Nanxiong Basin,  
580 Guangdong. Vertebrata Palasiatica, 35, 290-306.

581 Huang, X.-S., Zheng J.-J, 2003. Note on two new mammalian species from the late Paleocene  
582 of Nanxiong, Guangdong. Vertebrata Palasiatica 41, 271-277.

583 Janis, C.M., 1990. Correlation of cranial and dental variables with body size in ungulates and  
584 macropodoids, in: Damuth J., MacFadden B.J. (Eds.), Body size in mammalian  
585 paleobiology: Estimation and biological implications. Cambridge University Press,  
586 Cambridge, pp. 255-299.

587 Johnson, J.B., Omland, K.S., 2004. Model selection in ecology and evolution. Trends in  
588 Ecology and Evolution 19, 101-108.

589 Josephson, S.C., Juell, K.E., Rogers, A.R., 1996. Estimating sexual dimorphism by method-  
590 of-moments. American Journal of Physical Anthropology 100, 191-206.

591 Kondrashov, P.E., 2002. Late Paleocene Arctostylopidae (Mammalia, Notoungulata) from  
592 Mongolia. Journal of Vertebrate Paleontology 22, 75A.

593 Kondrashov, P.E., Lucas, S.G., 2004. *Palaeostylops iturus* from the Upper Paleocene of  
594 Mongolia and the status of *Arctostylopida* (Mammalia, Eutheria). *New Mexico Museum*  
595 *of Natural History and Science Bulletin* 26, 195-203.

596 Legendre, S., 1989. Les communautés de mammifères du Paléogène (Eocène supérieur et  
597 Oligocène) d'Europe occidentale: structures, milieux et evolution. *Münchner*  
598 *Geowissenschaftlichen Abhandlungen, A* 16, 1-110.

599 Marshall, L. G., Muizon, C. de, Sigé, B., 1983, *Perutherium altiplanense*, un notongulé du  
600 Crétacé Supérieur du Pérou. *Palaeovertebrata* 13, 145-155.

601 Matthew, W.D. 1915. A revision of the lower Eocene Wasatch and Wind River faunas. Part  
602 IV. Entelonychia, Primates, and Insectivora (part). *Bulletin of the American Museum of*  
603 *Natural History* 34, 429-483.

604 Matthew, W.D., Granger, W., 1925. Fauna and correlation of the Gashato Formation of  
605 Mongolia. *American Museum Novitates* 189, 1-12.

606 Matthew, W.D., Granger, W., Simpson, G.G., 1929. Additions to the fauna of the Gashato  
607 Formation of Mongolia. *American Museum Novitates* 376, 1-12.

608 Meng, J., Zhai, R., Wyss, A.R., 1998. The late Paleocene Bayan Ulan fauna of Inner  
609 Mongolia, China. *Bulletin of Carnegie Museum of Natural History* 34, 148-185.

610 Meng, J., Hu, Y., Li, C., 2003. The osteology of *Rhombomylus* (Mammalia, Glires):  
611 Implications for phylogeny and evolution of Glires. *Bulletin of the American Museum of*  
612 *Natural History* 275, 1-247.

613 Missiaen, P., 2011. An updated mammalian biochronology and biogeography for the early  
614 Paleogene of Asia. *Vertebrata Palasiatica* 49, 29-52 .

615 Missiaen, P., Smith, T., 2008. The Gashatan (late Paleocene) mammal fauna from Subeng,  
616 Inner Mongolia, China. *Acta Palaeontologica Polonica* 53, 357-378.

617 Missiaen, P., Smith, T., Guo, D.-Y., Bloch J.I., Gingerich, P.D., 2006. Asian Gliriform origin  
618 for arctostylopid mammals. *Naturwissenschaften* 93, 407-411.

619 Ni, X., Beard, K.C., Meng, J., Wang, Y., Gebo, D.L., 2007. Discovery of the First Early  
620 Cenozoic Euprimate (Mammalia) from Inner Mongolia. *American Museum Novitates*  
621 3571, 1-11.

622 Patterson, B., Pascual, R., 1972. The fossil mammal fauna of South America, in: Keast, A.,  
623 Erk, F.C., Glass, B.P. (Eds.), *Evolution, mammals and southern continents*. State  
624 University of New York Press, New York, pp. 247-309.

625 Rose, K.D., 1999. Postcranial skeleton of eocene Leptictidae (Mammalia), and its  
626 implications for behavior and relationships. *Journal of Vertebrate Paleontology* 19, 355-  
627 372.

628 Rose, K.D., Luvas, S.G., 2000. An early Paleocene palaeodont (Mammalia, ?Pholidota)  
629 from New Mexico, and the origin of Palaeodontia. *Journal of Vertebrate Paleontology*  
630 20139-156.

631 Rose, K.D., von Koenigswald, W., 2005. An Exceptionally Complete Skeleton of  
632 *Palaeosinopa* (Mammalia, Cimolesta, Pantolestidae) from the Green River Formation, and  
633 Other Postcranial Elements of the Pantolestidae from the Eocene of Wyoming (USA).  
634 *Palaeontographica* 273, 55-96.

635 Russell, D.E., Zhai, R.J., 1987. The Paleogene of Asia: mammals and stratigraphy. *Mémoires*  
636 *du Muséum national d'Histoire Naturelle*, C 52, 1-488.

637 Shockey, B., Flynn, J.J., 2007. Morphological Diversity in the Postcranial Skeleton of  
638 Casamayoran (?Middle to Late Eocene) Notoungulata and Foot Posture in Notoungulates.  
639 *American Museum Novitates* 3601, 1-26.

640 Simpson G.G., 1941. Explanation of Ratio Diagrams. In: G.G. Simpson (Ed.), *Large*  
641 *Pleistocene Felines of North America*. *American Museum Novitates* 1136, 1-27.

642 Simpson, G.G. 1948. The beginning of the age of mammals in South America. Part 1.  
643 Bulletin of the American Museum of Natural History 91, 1-232.

644 Simpson, G.G. 1967. The beginning of the age of mammals in South America. Part 2.  
645 Bulletin of the American Museum of Natural History 137, 1-260.

646 Simpson G.G., Roe A., Lewontin R.C., 1960. Quantitative Zoology, revised edition. Harcourt,  
647 Brace, and World, New York.

648 Sokal, R. R., Rohlf, F. J. (1995). Biometry (3rd Edition). W. H. Freeman and Co., New York.

649 Sulimski, A., 1968. Paleocene genus *Pseudictops* Matthew, Granger and Simpson 1929  
650 (Mammalia) and its revision. *Palaeontologia Polonica* 19, 101-132.

651 Szalay, F.S., 1985. Rodent and lagomorph morphotype adaptations, origins and relationships:  
652 Some postcranial attributes analyzed. In: Lockett, W.P., Hartenberger, J.L. (Eds.),  
653 Evolutionary relationships among rodents: A multidisciplinary analysis. Plenum Press,  
654 New York, pp. 83-132.

655 Ting, S., 1998. Paleocene and early Eocene Land Mammal Ages of Asia. *Bulletin of Carnegie*  
656 *Museum of Natural History* 34, 124-147.

657 Titterton, D., Smith, A., Makov, U., 1985. Statistical analysis of finite mixture  
658 distributions. John Wiley & Sons, Chichester, U.K.

659 Tong, Y., Wang, J., 2006. Fossil Mammals from the Early Eocene Wutu Formation of  
660 Shandong Province. *Palaeontologia Sinica*, new series C 192, 1-195.

661 Wang, Y., Hu, Y., Chow, M., Li, C., 1998. Chinese Paleocene Mammal faunas and their  
662 correlation. In: Beard, K.C., Dawson, M.R. (Eds.), Dawn of the Age of Mammals in Asia.  
663 *Bulletin of Carnegie Museum of Natural History* 34, 89-123.

664 Wang, Y., Meng, J., Ni, X., Beard, K.C., 2008. A new Early Eocene arctostyloid  
665 (Arctostylopida, Mammalia) from the Erlian Basin, Nei Mongol (Inner Mongolia), China.  
666 *Journal of Vertebrate Paleontology* 28, 553-558.

- 667 Wang, Y., Meng, J., Ni, X., Li, C., 2007. Major events of Paleogene mammal radiation in  
668 China. *Geological Journal* 42, 415-430.
- 669 Wright S. P., 1992. Adjusted p-values for simultaneous inference. *Biometrics* 48, 1005-1013.
- 670 Zack, S.P., 2004. An Early Eocene Arctostyloid (Mammalia: Arctostylopida) from the Green  
671 River Basin, Wyoming. *Journal of Vertebrate Paleontology* 24, 498-501.
- 672 Zhang, Y., Tong, Y., 1981. New anagaloid mammals from Paleocene of South China.  
673 *Vertebrata Palasiatica* 19, 133-144.
- 674 Zheng, J. J., 1979. The Paleocene notoungulates of Jiangxi, in: *The Mesozoic and Cenozoic*  
675 *Red Beds of South China*. Science Press, Beijing. pp. 387–394 [ in Chinese].
- 676 Zheng, J. J., Huang, X.S.,1986. New arctostyloids (Notoungulata, Mammalia) from the late  
677 Paleocene of Jiangxi. *Vertebrata PalAsiatica* 24, 121-128.
- 678

679 **Captions**

680

681 Table 1. Measurements for *Palaeostylops iturus* and *P. macrodon* cheek teeth from the  
682 Gashatan of the Khashat Formation in the Flaming Cliffs area (Mongolia). All measurements  
683 in mm. N: number of measured specimens; min.: minimum; max.: maximum; Std.-Dev.:  
684 standard-deviation; Bivariate D&H *p*-val.: *p*-value of the Doornik and Hansen omnibus  
685 significance test for bivariate (Length × Width) normality, indicating that all positions but *P.*  
686 *iturus*' P4 show normal length × width distributions. Non-normality of *P. iturus* P4's  
687 distribution is due to a single specimen (MPC-M 30/100) showing an unusually large length;  
688 removal of this outlier returns a non-significant D&H *p*-value (0.632).

689

690 Table 2. Wilks'  $\lambda$  tests of the null hypothesis that the *P. iturus* and *P. macrodon* samples  
691 come from populations with equal bivariate (Length × Width) means, and Kolmogorov-  
692 Smirnov univariate nonparametric tests of the null hypothesis that the *P. iturus* and *P.*  
693 *macrodon* samples come from populations with equal distributions. Holm's *p*-val.:  
694 significance level based on a sequential Bonferroni correction (Holm's [1979] procedure; see  
695 Wright, 1992) for multiple testing; bold values indicate significant differences at a 95%  
696 experimentwise confidence level.

697

698 Fig. 1. **A.** Geographic location of the studied fossil locality (F) in the Flaming Cliffs  
699 area (Ulan-Nur Basin, Gobi Desert, Mongolia); **B.** Panoramic view of the Khashat Formation  
700 in the Flaming Cliffs area; **C.** Close-up view of the fossiliferous small sandy lens (white  
701 star; <1 m<sup>3</sup>) from which the arctostylopid collection studied here comes from (featuring  
702 D. Dashzeveg on the left and J.-L. Hartenberger on the right); **D.** Simplified  
703 stratigraphic log of the Late Cretaceous-Early Paleogene section in the studied fossil

704 locality area; the black star indicates the stratigraphic position of the fossiliferous  
705 lens within the Member I (= Khashat Mb = Khashat Svita of Russell and Zhai, 1987: fig. 22)  
706 of the Khashat (= Gashato) Formation.

707

708 Fig. 2. *Palaeostylops* upper dentitions from the Gashatan of the Khashat Formation in the  
709 Flaming Cliffs area (Mongolia). **1-6.** *P. iturus*. 1. MPC-M 30/143, left M1-2; 2. MPC-M  
710 30/234a, right P2-M3; 3. MPC-M 30/146, right M2-3; 4. MPC-M 30/283, right M1-2; 5.  
711 MPC-M 30/236b, left P3-M3; 6. MPC-M 30/234b, left P2-M3. **7-11.** *P. macrodon*. 7. MPC-  
712 M 30/287, left M1-2; 8. MPC-M 30/233a, right P2-M3; 9. MPC-M 30/133, left P3-M2; 10.  
713 MPC-M 30/233b, left P3-M3; 11. MPC-M 30/109, left M1-2. All in occlusal view. Scale  
714 bar = 5 mm.

715

716 Fig. 3. **A.** Simpson diagrams of Log-ratios based on the mean lengths and widths of upper and  
717 lower cheek teeth (Table 1). Reference sample: *P. iturus* from the Gashatan of the Khashat  
718 Formation in the Flaming Cliffs area (MNI = 32); thin black lines: 95% bootstrapped  
719 confidence intervals around the observed Log-Ratio values (circles) for *P. macrodon* from the  
720 same fossil locality (MNI = 14); bold gray lines: expected distribution (95% C.I.) under the  
721 null hypothesis that *P. iturus* and *P. macrodon* share the same tooth dimensions. Sample and  
722 null hypothesis confidence intervals estimated from 10,000 parametric bootstrap iterations. **B.**  
723 Scatterplots of the Ln-areas of the first vs. second upper and lower molars. Ellipses show 95%  
724 sample concentration under a bivariate normal distribution working hypothesis; dotted lines  
725 within the ellipses: reduced major axes; horizontal dashed lines: optimal cut-off values  
726 between *P. iturus* and *P. macrodon* M2/m2 surfaces (M2:  $\text{Proba}(\text{indv.} \in P. iturus \mid$   
727  $L \times W < 15.1 \text{ mm}^2 = \text{Proba}(\text{indv.} \in P. macrodon \mid L \times W > 15.1 \text{ mm}^2 = 99.7\%;$  m2:

728 Proba(indv.  $\in$  *P. iturus* |  $L \times W < 8.1 \text{ mm}^2 = 99.9\%$ , Proba(indv.  $\in$  *P. macrodon* |  $L \times W > 8.1$   
729  $\text{mm}^2 = 99.8\%$ ; see Favre et al. [2008] for methodological details).

730

731 Fig. 4. Distal tibia of *Palaeostylops* from the Gashatan of the Khashat Formation in the  
732 Flaming Cliffs area (Mongolia). MPC-M 30/328 in posterior (1), distal (2), anterior (3) and  
733 medial (4) views. MM: Medial malleolus; TPP: Tibial posterior process; LAT: Lateral  
734 astragalotibial facet. Scale bar = 5 mm.

735

736 Fig. 5. Comparison of the distal tibia of *Pseudictops* (1, 2), *Palaeostylops* (3, 4), and  
737 *Rhombomylus* (5, 6) in posterior (1, 3, 5) and distal (2, 4, 6) views. MM: Medial malleolus;  
738 TPP: Tibial posterior process. Illustration of *Pseudictops* based on Sulimski (1968);  
739 illustration of *Rhombomylus* based on Meng et al. (2003). Scale bars = 5 mm.

740

741 Fig. 6. Comparison of the lower anterior dentition of *Pseudictops* (1, 2) and *Palaeostylops* (3)  
742 in lingual view. 1. i1-3 and c based on MgM-II/13 (reversed) and p1-m3 based on MgM-II/15,  
743 modified from Sulimski (1968); 2. AMNH 20422, originally described as *Palaeostylops* sp.  
744 by Matthew and Granger 1925; 3. AMNH 20414, holotype of *Palaeostylops iturus*. Scale  
745 bars = 1 cm (1, 2), 5 mm (3).

746

747 Fig. 7. Comparison of upper and lower dentition of Astigalidae (1), Arctostylopidae (2-5) and  
748 Notoungulata (6-8) in occlusal view. 1. *Astigale nanxiongensis* from the Shanghuan (early  
749 Paleocene) Shanghu Formation in Jintang, Guandong Province, China. IVPP V5215: left P3-  
750 M3 and p3-m3; 2. *Asiostylops spanios* from the Nongshanian (middle Paleocene) Chijiang  
751 Formation in Laolingbei, Jiangxi Province, China. IVPP V5042: P3-M3 (reversed from right  
752 side) and left c-m3; 3. *Palaeostylops iturus* from the Gashatan (late Paleocene) Khashat

753 Formation in the Flaming Cliffs area, Mongolia. MPC-M 30/234b: left P2-M3. MPC-M  
754 30/288: c-m3 (reversed from right side); 4. *Migrostylops* from the Bumbanian (early Eocene)  
755 Wutu Formation in Wutu, Shandong Province, China. IVPP V10734: left I1-M3 of *M.*  
756 *rosella*. IVPP V10733-4: left p4-m3 from *M. roboreus*; 5. *Arctostylops steini* from the  
757 Tiffanian-5a (late Paleocene) Fort Union Formation of Polecat Bench, Bighorn Basin,  
758 Wyoming. MCZ 20004: left C-M3 and left dentary with i3-m1; 6. *Colbertia magellanica*  
759 (Tyotheria: Oldfieldthomasiidae ) from the Itaboraian (late Paleocene) of Itaborai, Brazil.  
760 AMNH 49873: left P3-M3. AMNH 49879: p3-m3 (reversed from right side); 7. *Simpsonotus*  
761 *praecursor* (Notioprogonia: Henricosbornidae) from the Riochican (late Paleocene) Mealla  
762 Formation in Jujuy, Argentina. MLP 73-VII-3-II: left I2-M3 and left i3-m3; 8. *Notostylops*  
763 *murinus* (Notioprogonia: Notostylopidae) from the Casamayoran (early Eocene) Casamayor  
764 Formation in Colhue Huapi, Chubut, Argentina. AMNH 28956: left I3-M3. AMNH 28727:  
765 left p2-m3. Scale bars = 5 mm.  
766

767 **Supplementary material**

768

769 Appendix A. Statistical analysis of Simpson's (1941) Log-ratios.

770

771 Appendix B. Biostatistical analysis of dental measurements: Computational details regarding

772  $V^*$ ,  $b$  and  $D$  metrics, and mixture analysis results

773

774 Table S1. Unbiased coefficient of variation ( $V^*$ ), bimodality index ( $b$ ), Dimorphism ratio of

775 the "method-of-moments(MoM)" technique ( $D$ ) and mixture analysis (Mixt.) results for the

776 length (L), width (W) and  $\text{Ln}(L \times W)$  of the upper and lower third premolars to third molars

777 of all measured *Palaeostylops* teeth, and measured teeth a priori assigned to *P. iturus* or to *P.*

778 *macrodon*. Ex.R.: expected ratio between the largest and smallest group means based on the a

779 priori assignment of specimens to one of the two groups.

780

781 Figure S1. Bivariate Length  $\times$  Width scatterplots for *Palaeostylops iturus* and *P. macrodon*

782 cheek teeth from the Gashatan of the Khashat Formation in the Flaming Cliffs area

783 (Mongolia). All measurements in mm.

784

Table 1.

		Length				Width				Bivariate		
		N	min	max	mean	Std.-dev.	N	min	max	mean	Std.-dev.	D&H <i>p</i> -val.
<i>P. iturus</i>	P2	11	1.6	2.0	1.79	0.122	11	1.1	1.8	1.41	0.192	0.203
	P3	15	1.6	2.1	1.95	0.119	15	1.3	1.9	1.67	0.198	0.055
	P4	34	1.8	2.4	1.97	0.115	35	1.7	2.6	2.22	0.192	0.0008
	M1	50	2.2	3.0	2.61	0.185	50	2.4	3.3	2.77	0.201	0.844
	M2	58	2.7	3.8	3.25	0.245	58	2.8	3.6	3.31	0.203	0.246
	M3	39	1.9	2.8	2.34	0.179	37	2.3	3.3	2.90	0.215	0.061
	p2	4	1.7	1.9	1.83	0.096	4	0.9	1.1	1.00	0.082	---
	p3	9	1.6	2.1	1.92	0.164	9	0.9	1.2	1.04	0.088	0.355
	p4	16	2.0	2.5	2.30	0.137	16	1.1	1.4	1.19	0.089	0.27
	m1	31	2.5	3.0	2.76	0.136	29	1.3	1.6	1.42	0.094	0.441
	m2	56	2.8	3.8	3.33	0.190	55	1.4	2.0	1.73	0.135	0.681
	m3	26	2.2	2.7	2.52	0.196	32	1.1	1.7	1.35	0.139	0.387
	<i>P. macrodon</i>	P2	7	1.9	2.2	2.07	0.095	7	1.2	1.5	1.31	0.135
P3		10	2.0	2.4	2.16	0.143	10	1.6	2.1	1.86	0.165	0.844
P4		15	2.0	2.5	2.14	0.159	14	2.1	2.7	2.42	0.167	0.414
M1		20	2.8	3.3	3.04	0.150	20	2.9	3.6	3.20	0.209	0.917
M2		21	4.4	5.5	5.01	0.290	21	3.7	4.7	4.17	0.285	0.981
M3		16	2.2	2.8	2.46	0.186	15	3.0	3.6	3.23	0.168	0.834
p2		2	1.9	2.0	---	---	2	1.0	1.1	---	---	---
p3		6	2.0	2.3	2.23	0.121	6	1.0	1.3	1.18	0.117	---
p4		7	2.4	2.8	2.66	0.127	8	1.2	1.4	1.29	0.083	---
m1		14	2.9	3.7	3.24	0.221	14	1.5	1.8	1.61	0.092	0.383
m2		19	4.3	5.1	4.71	0.216	19	2.0	2.4	2.18	0.108	0.792
m3		7	2.8	3.4	3.01	0.212	9	1.4	1.6	1.50	0.087	---

Table 2.

	Kolmogorov-Smirnov test			Wilks' $\lambda$ test			Length $\times$ Width		
	<i>D</i>	<i>p</i> -value	Holm's <i>p</i> -val.	<i>D</i>	<i>p</i> -value	Holm's <i>p</i> -val.	$\lambda$	<i>p</i> -value	Holm's <i>p</i> -val.
P2	0.766	5.5E-03	5.6E-02	0.364	5.2E-01	7.8E-01	2.8E-01	6.29E-05	<b>2.51E-04</b>
P3	0.633	8.3E-03	7.5E-02	0.400	2.2E-01	7.8E-01	5.8E-01	2.6E-03	<b>7.73E-03</b>
P4	0.441	2.9E-02	2.3E-01	0.437	3.1E-02	2.3E-01	6.3E-01	4.07E-05	<b>2.44E-04</b>
M1	0.780	1.4E-08	<b>2.5E-07</b>	0.740	9.1E-08	<b>1.5E-06</b>	4.1E-01	1.12E-13	<b>1.01E-12</b>
M2	1.000	7.6E-15	<b>1.7E-13</b>	1.000	7.6E-15	<b>1.7E-13</b>	9.6E-02	2.35E-39	<b>2.58E-38</b>
M3	0.317	1.9E-01	7.8E-01	0.683	3.9E-05	<b>5.5E-04</b>	0.6376	2.04E-05	<b>1.43E-04</b>
p3	0.833	5.1E-03	5.6E-02	0.556	1.4E-01	7.0E-01	4.5E-01	8.1E-03	<b>8.06E-03</b>
p4	0.857	5.0E-04	<b>6.0E-03</b>	0.384	3.8E-01	7.8E-01	3.8E-01	5.92E-05	<b>2.96E-04</b>
m1	0.821	1.8E-06	<b>2.9E-05</b>	0.679	1.5E-04	<b>2.0E-03</b>	0.3167	1.84E-10	<b>1.47E-09</b>
m2	1.000	1.1E-13	<b>2.2E-12</b>	0.964	9.7E-13	<b>1.8E-11</b>	0.09352	2.94E-37	<b>2.94E-36</b>
m3	1.000	7.0E-06	<b>1.1E-04</b>	0.520	6.7E-02	4.0E-01	0.4118	0.004873	<b>9.75E-03</b>

Figure 1  
[Click here to download high resolution image](#)

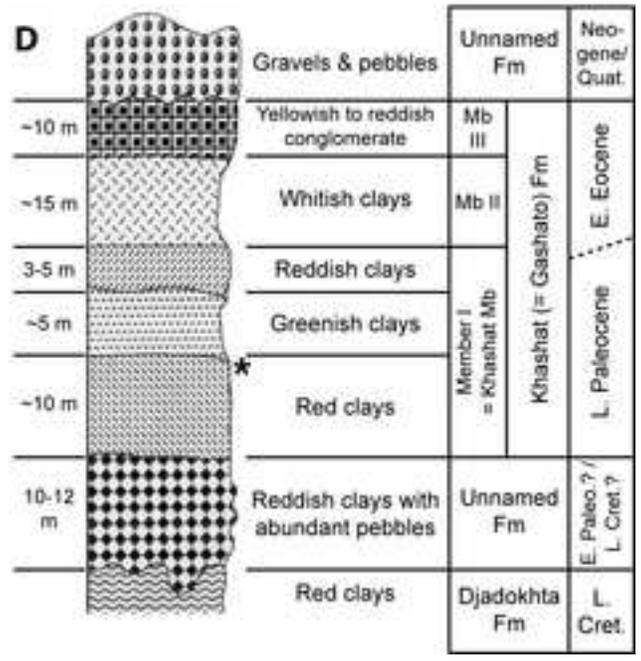
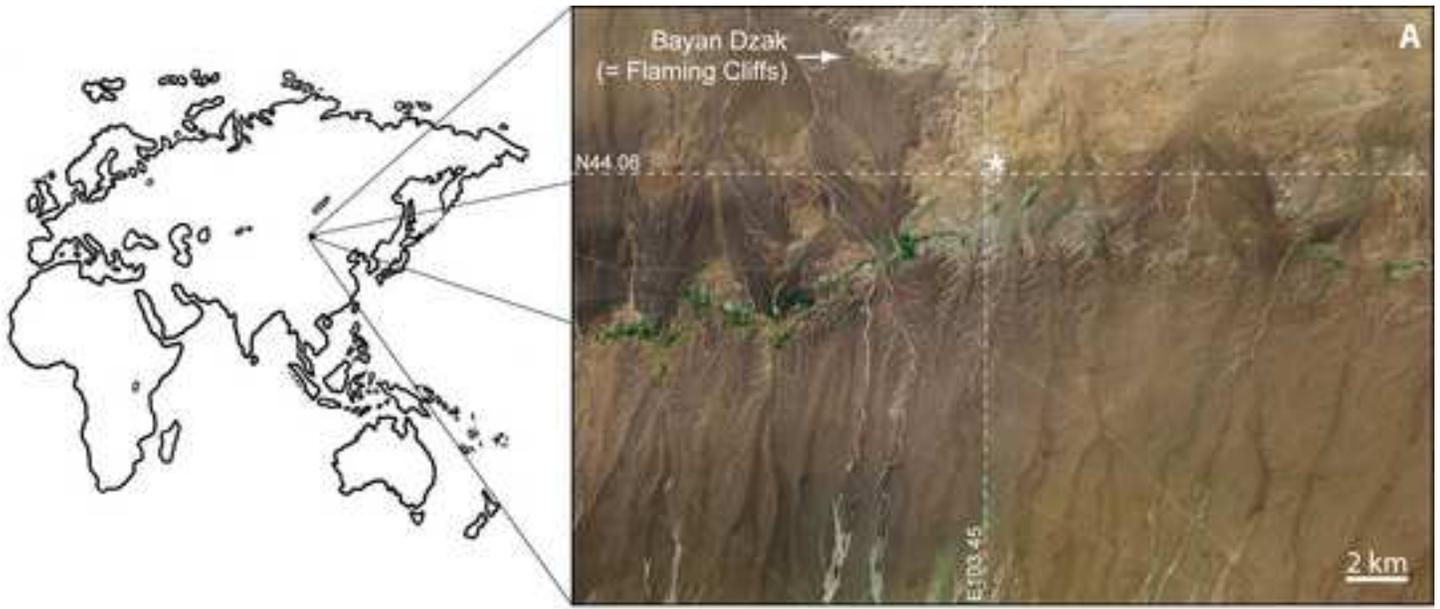
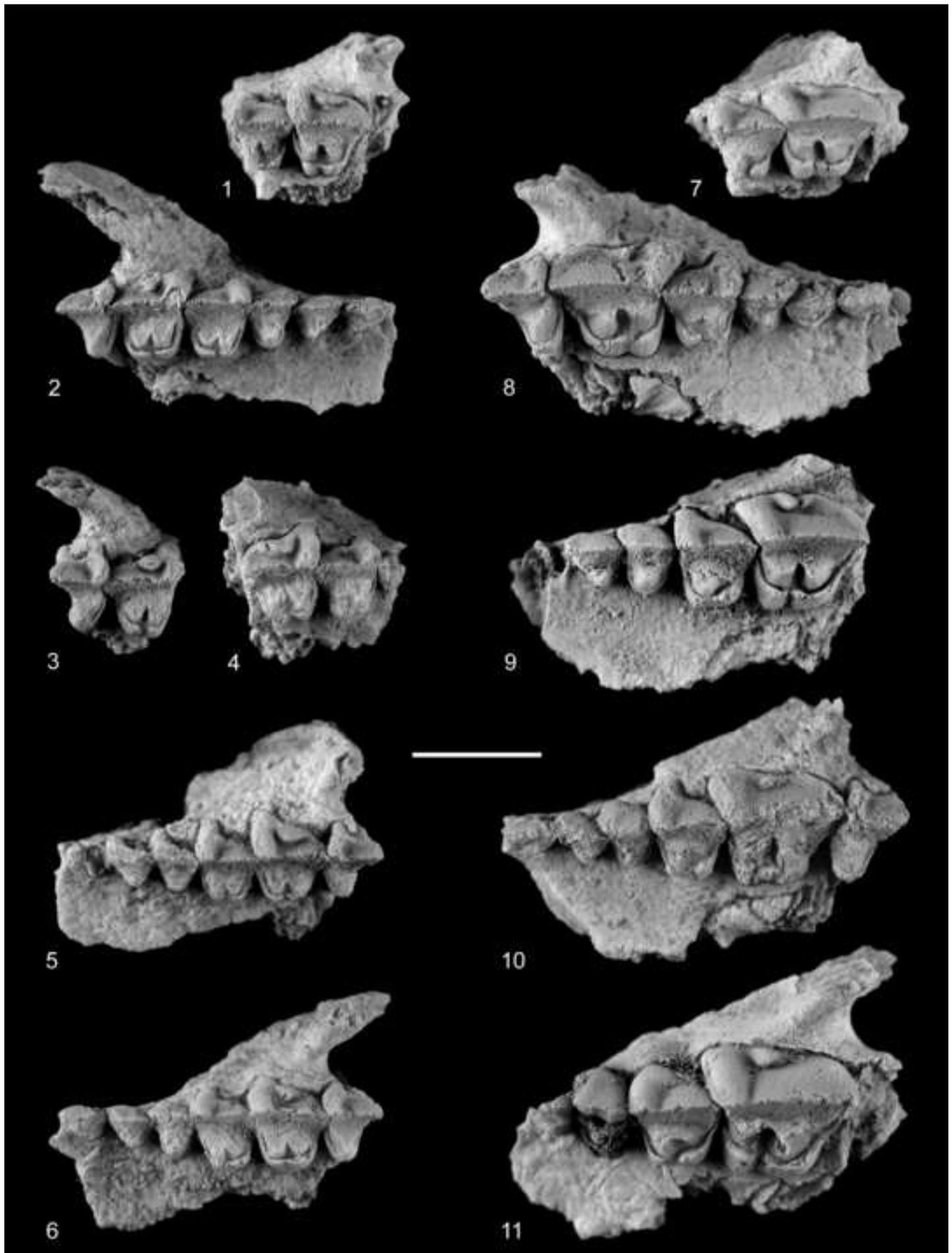


Figure 2  
[Click here to download high resolution image](#)



**Figure 3**  
[Click here to download high resolution image](#)

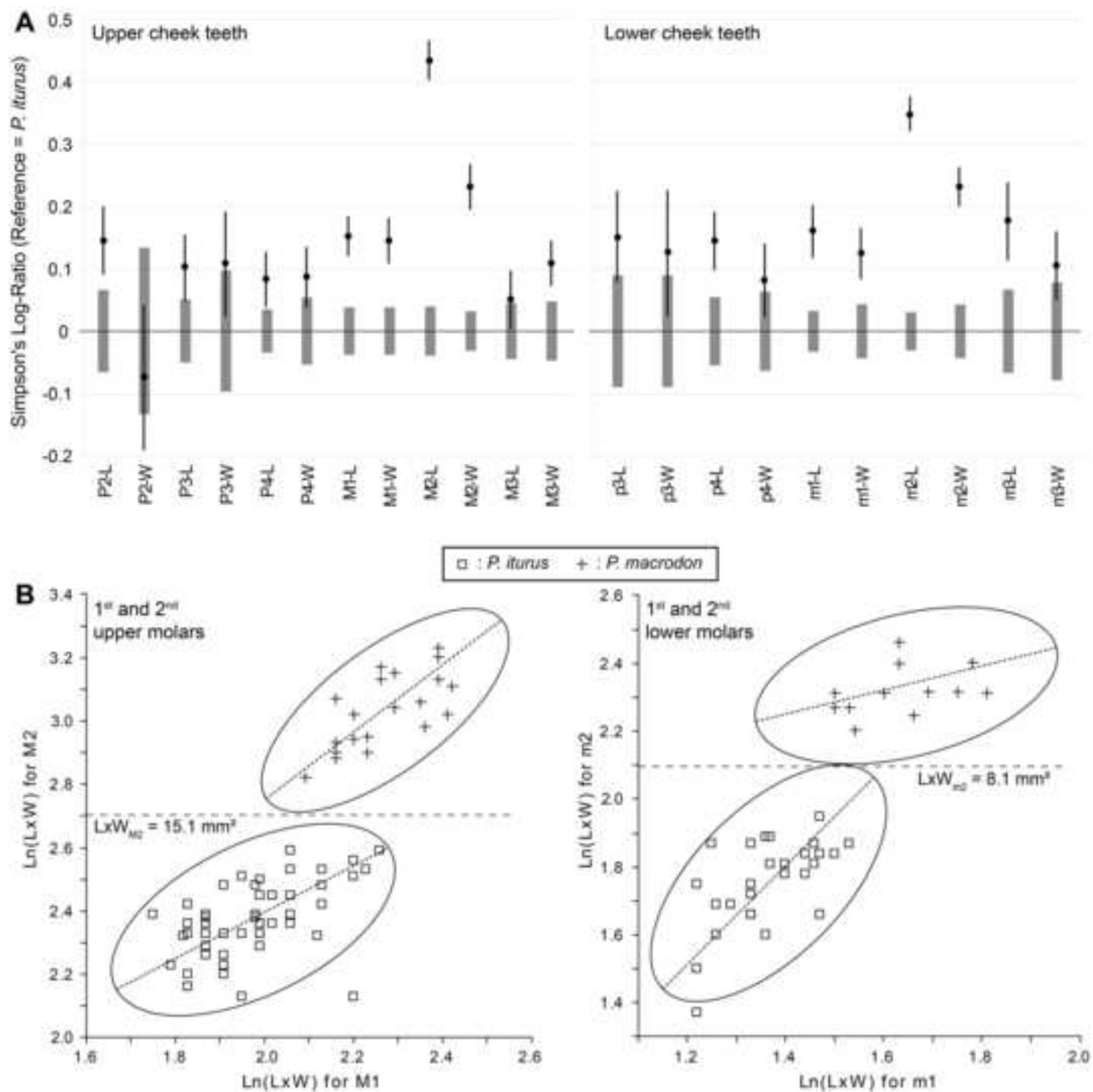


Figure 4  
[Click here to download high resolution image](#)

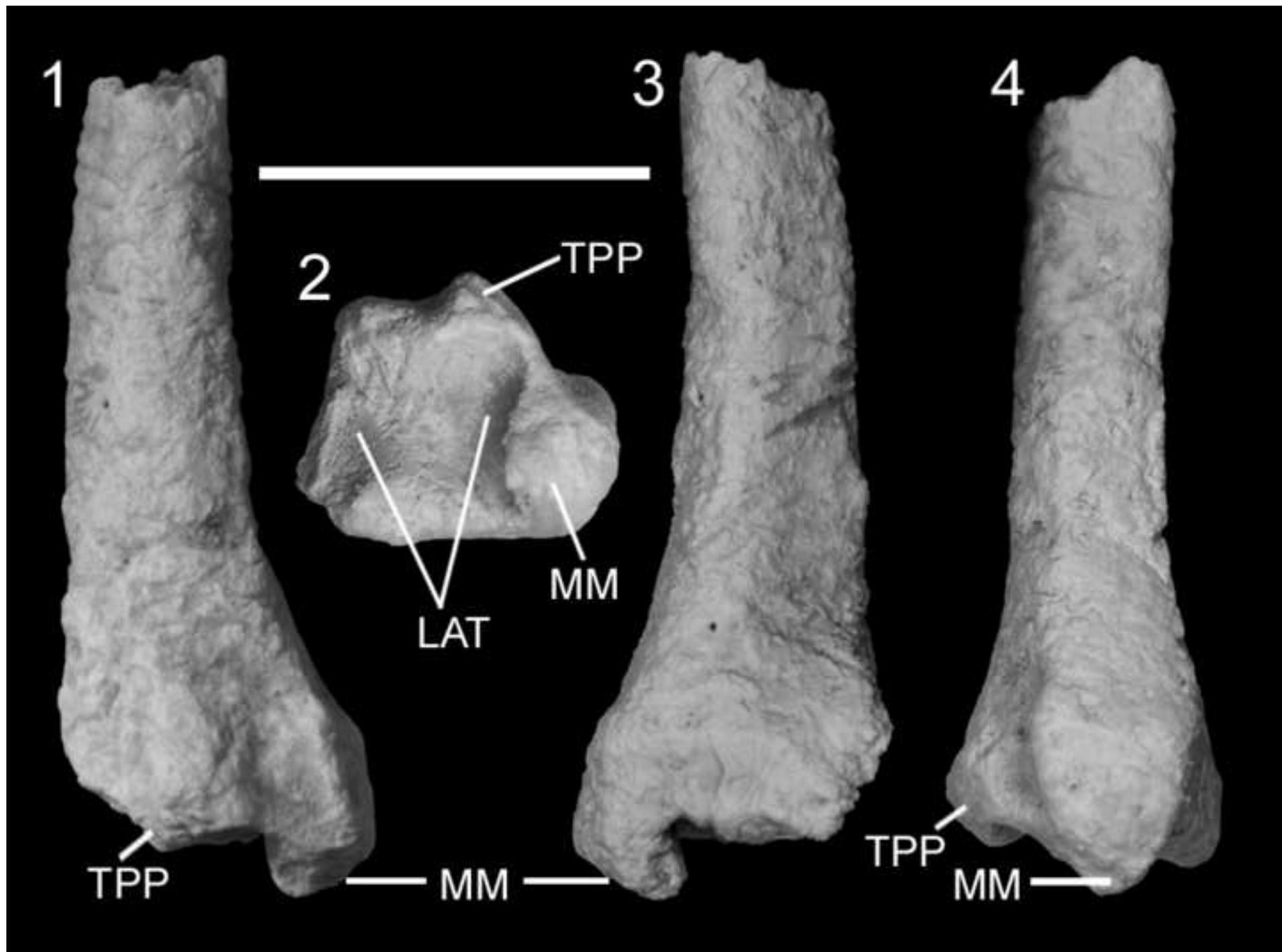


Figure 5  
[Click here to download high resolution image](#)

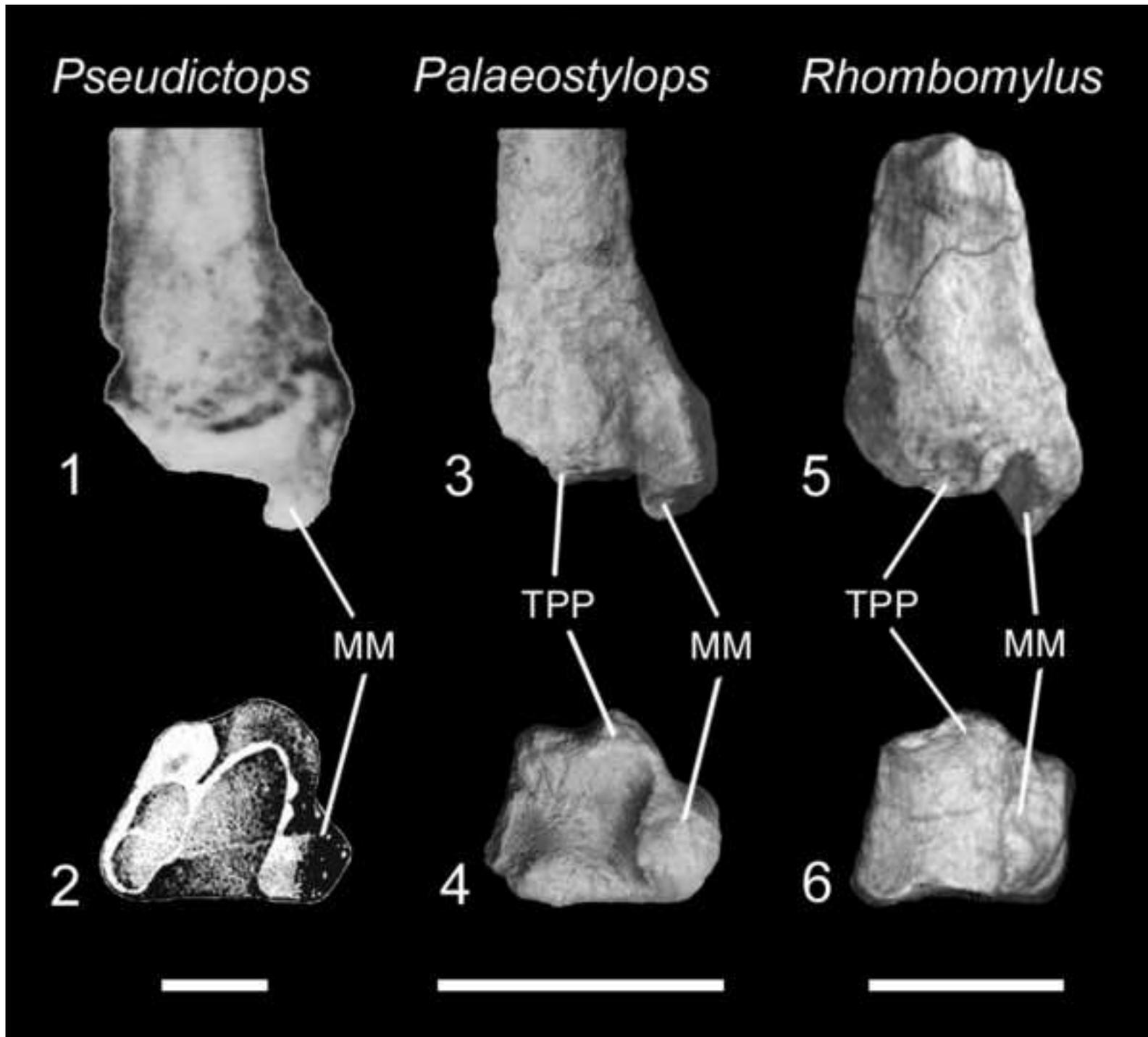


Figure 6  
[Click here to download high resolution image](#)

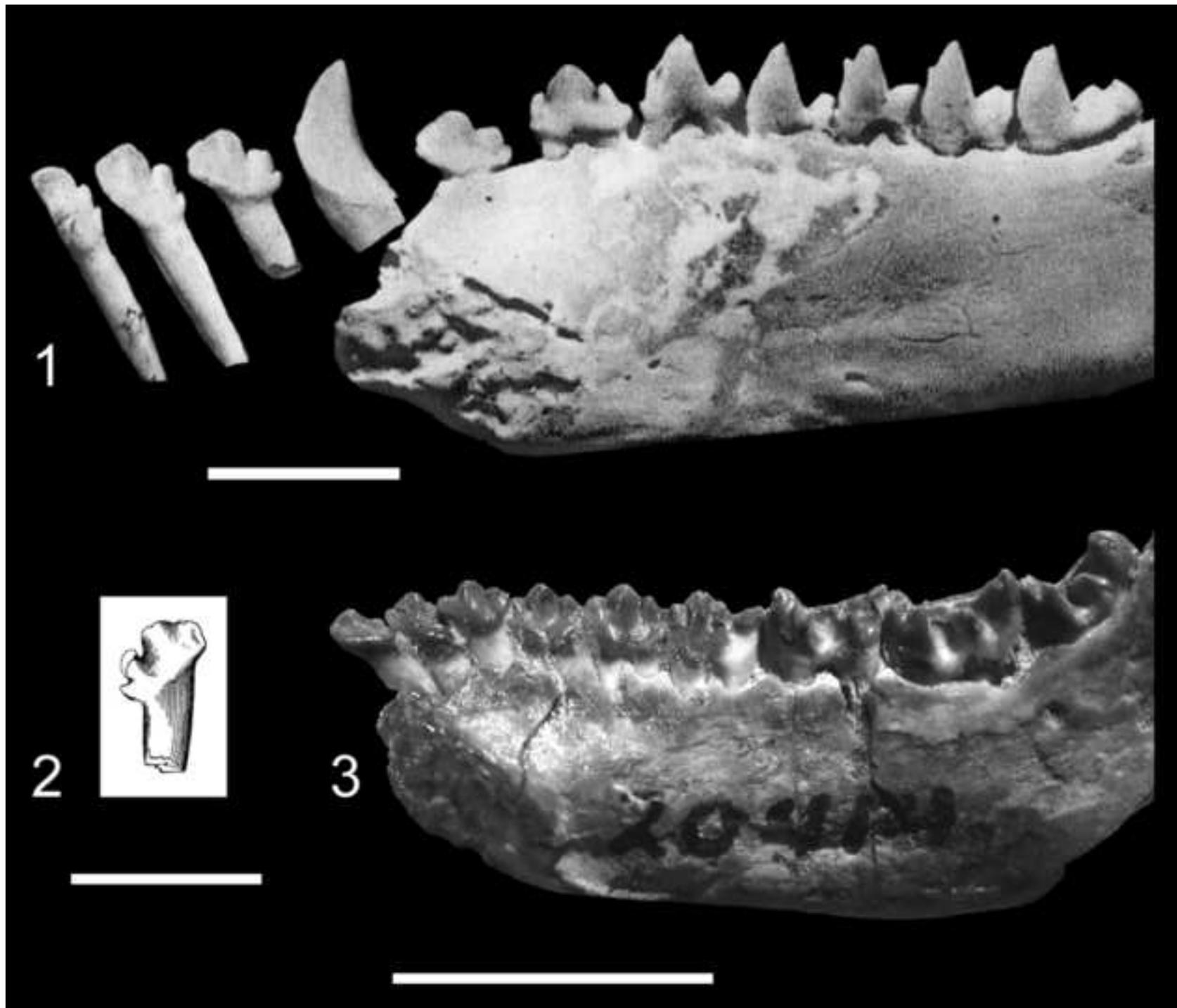
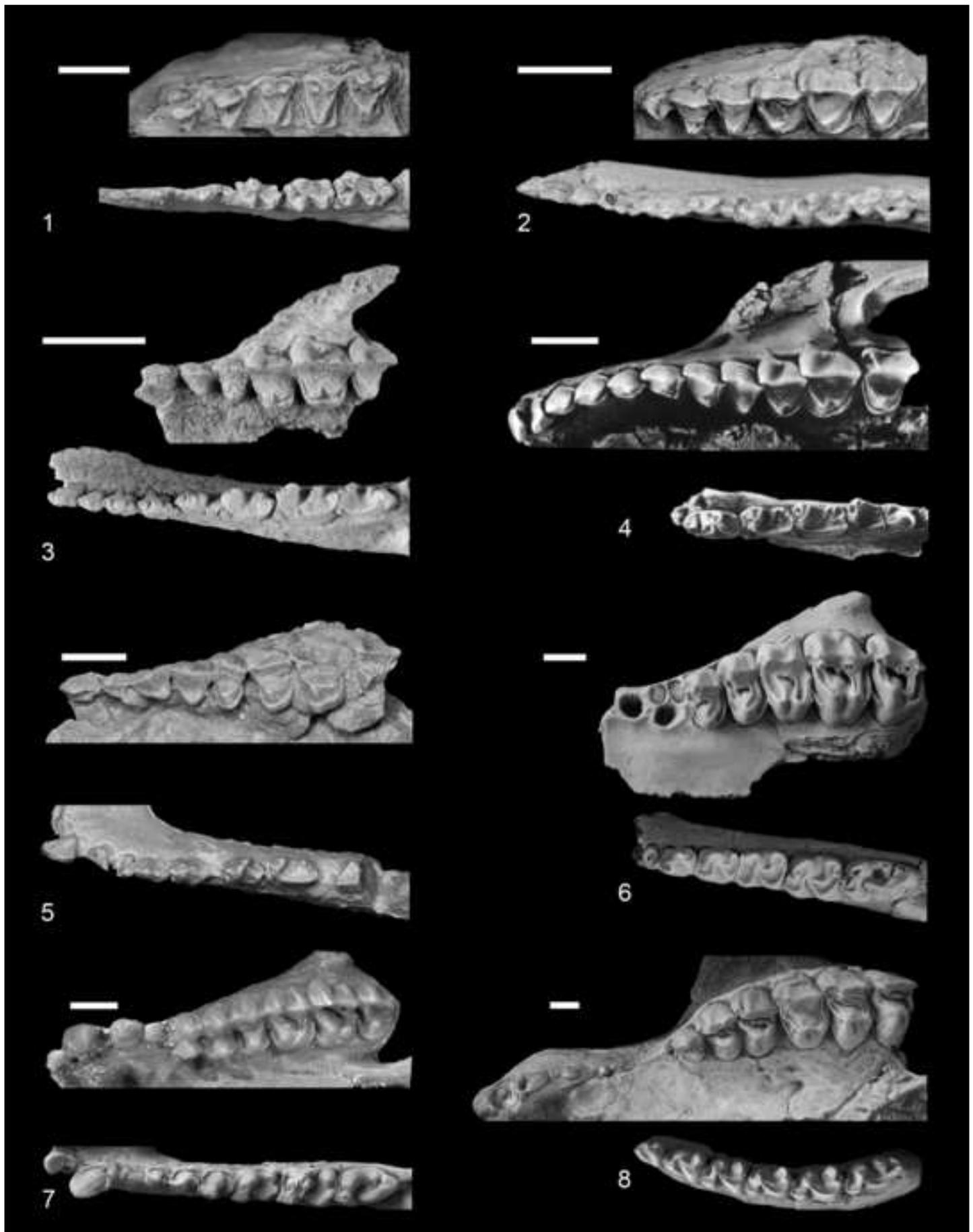


Figure 7  
[Click here to download high resolution image](#)



**Appendix A.** Statistical analysis of Simpson's (1941) Log-ratios (G. Escarguel).

Biometric differences between groups of individuals always combine isometric and allometric variations, only the later involving proportional differences corresponding to shape differences. A classical way to untangle isometric and allometric components within a biometric dataset consists in standardizing each studied sample by a given, homogeneous and usually large reference sample, e.g., by calculating Simpson's Log-ratios (Simpson, 1941; Simpson et al. 1960). By allowing between-group comparisons in terms of proportions, i.e., in terms of relative and *not* absolute differences, the use of Log-ratios makes possible the separate analysis of isometric and allometric *relative* differences between groups (Meadow, 1999). From a strictly biological point of view, such a size-scaling approach is justified by the fact that the living world does not evolve in an *arithmetic* (i.e., additive) but in a *geometric* (i.e., multiplicative) space: any comparison between organs' or organisms' size and shape must be done in terms of proportions – nature has nothing to do with meters, liters or grams: only proportional relations between structures are of interest, whatever the quality, and thus measurement units of these structures (Gingerich, 2000).

For any analyzed sample  $i$  and biometric descriptor  $j$ , Simpson's Log-ratio (= "Log-Size Index" *sensu* Meadow, 1999) is

$$SR_{i,j} = \ln\left(\frac{\overline{X_{i,j}}}{\overline{R_j}}\right) = \ln(\overline{X_{i,j}}) - \ln(\overline{R_j}),$$

where  $\overline{X_{i,j}}$  is the empirical mean value for sample  $i$  and descriptor  $j$ , and  $\overline{R_j}$  is the empirical mean value of the reference sample for descriptor  $j$ . By definition,  $SR$  is a non-dimensional index, but it still depends on the unit of the measured descriptors<sup>1</sup> (the logarithm of a ratio, i.e., a difference of logarithms keeps the same dimensional proportionality as a standard deviation; Lande, 1977; Gingerich, 2001).

Once calculated for commensurate (i.e., same-unit) biometric descriptors of different samples (always using the same reference sample), Simpson's Log-ratio allows multivariate comparisons of proportional differences between samples. Classically, such comparisons are done graphically, through the drawing of a "Simpson diagram" where each proportional difference between empirical means is plotted for each analyzed sample and descriptor. Such diagrams are widespread in the paleontological literature, but as far as we know, little or no

---

<sup>1</sup> The following example illustrates this simple, while rather counter-intuitive fact. Let's  $A$  and  $B$  be two cubes of line lengths  $L(A)$  and  $L(B)$  m, surfaces  $S(A)$  and  $S(B)$  m<sup>2</sup>, and volumes  $V(A)$  and  $V(B)$  m<sup>3</sup>. As  $V(\cdot) = L(\cdot)^3$  and  $S(\cdot) = 6 \cdot L(\cdot)^2$ , their volume and surface logarithmic differences are:

$$SR = \ln\left(\frac{V(A)}{V(B)}\right) = \ln(V(A)) - \ln(V(B)) = \ln(L(A)^3) - \ln(L(B)^3) = 3 \cdot (\ln(L(A)) - \ln(L(B)))$$

and

$$\begin{aligned} SR &= \ln\left(\frac{S(A)}{S(B)}\right) = \ln(S(A)) - \ln(S(B)) = \ln(6 \cdot L(A)^2) - \ln(6 \cdot L(B)^2) \\ &= [\ln(6) + 2 \cdot \ln(L(A))] - [\ln(6) + 2 \cdot \ln(L(B))] = 2 \cdot (\ln(L(A)) - \ln(L(B))). \end{aligned}$$

Thus, the Log-ratio of the volume of two cubes is 1.5 times larger than the Log-ratio of their associated surfaces, and 3 times larger than the Log-ratio of their associated line lengths: even if non-dimensional,  $SR$  still depends on the units of the measured descriptors (here, m, m<sup>2</sup> or m<sup>3</sup>). As a consequence, when measured with Simpson's Log-ratios, only proportional differences of descriptors with the same unit of measurement are commensurate and can be directly compared.

attention has yet been paid to the statistical (descriptive and inferential) issues underlying such comparisons. On the one hand, when the number of studied samples becomes large, multi-sample multivariate comparisons can be made easier (and less subjective than direct graphical comparisons of Simpson diagrams) using usual hierarchical or nonhierarchical clustering and/or metric or nonmetric ordination techniques as available in many statistical books and computational packages (e.g., UPGMA, Neighbor-Joining,  $k$ -mean, PCA/PCoA, NM-MDS, etc.). On the other hand, as for any sample value, confidence intervals are obviously associated with each empirical mean proportional difference. These intervals, which directly depend on the confidence intervals associated with the sample means  $\overline{X_{i,j}}$  and  $\overline{R_j}$ , must be estimated and taken into account in order to test the observed differences between samples for statistical significance.

Estimates of Simpson's Log-ratio confidence intervals can readily be achieved using parametric bootstrap (Efron and Tibshirani, 1993; see Appendix B of the present paper for a short summary of the core operational concept underlying the Bootstrap theory). For each descriptor,  $B$  pseudo-values (indicated hereafter by the "□" symbol) of the mean of the studied and reference samples are randomly and independently generated, based on their sample Gaussian distributions, following:

$$X_{i,j}^{\square} \sim N\left(\overline{X_{i,j}}, \frac{s_{X_{i,j}}}{\sqrt{n_{X_{i,j}}}}\right) \text{ and } R_j^{\square} \sim N\left(\overline{R_j}, \frac{s_{R_j}}{\sqrt{n_{R_j}}}\right),$$

where  $s$  is the sample standard deviation,  $n$  is the number of observed specimens (sample size), and  $N\left(m, \frac{s}{\sqrt{n}}\right)$  is the normal distribution with mean  $m$  and standard deviation  $s/\sqrt{n}$ , the standard error of the mean  $m$ . The  $B$   $(X_{i,j}^{\square}, R_j^{\square})$  couples then allows the computation of  $B$  pseudo-values  $SR_{i,j}^{\square} = \ln(X_{i,j}^{\square}) - \ln(R_j^{\square})$ , forming together a bootstrap distribution of pseudo-values ( $B = 10,000$  in this work, including the observed  $SR$ -value), from which bootstrap mean and standard deviation, as well as nonparametric bilateral  $(1 - \alpha)\%$  confidence interval limits (i.e., the  $\alpha/2^{\text{th}}$  and  $(1 - \alpha/2)^{\text{th}}$  percentiles of the cumulated distribution functions) can be extracted.

Following the same principle, the confidence interval associated with the null hypothesis ( $H_0$ ) that *the studied and reference samples share the same descriptor's reference distribution (mean and standard deviation)*, can be estimated, for each descriptor  $j$ , by randomly and independently generating the pseudo-values

$$X_{i,j}^{\square} \sim N\left(\overline{R_j}, \frac{s_{R_j}}{\sqrt{n_{X_{i,j}}}}\right) \text{ and } R_j^{\square} \sim N\left(\overline{R_j}, \frac{s_{R_j}}{\sqrt{n_{R_j}}}\right).$$

Note that the standard deviation of the normal distribution underlying the  $B$   $X_{i,j}^{\square}$ -pseudo-values is calculated using the actual size of sample  $i$  ( $n_{X_{i,j}}$ ) and *not* the size of the reference sample ( $n_{R_j}$ ). The  $B$   $(X_{i,j}^{\square}, R_j^{\square})$  couples then allows the computation of  $B$  pseudo-values  $SR_{i,j}^{H_0}$ , which form an unbiased estimate ( $\overline{SR_{i,j}^{H_0}} \cong 0$ ) of the confidence interval associated with  $H_0$ .

Finally, various univariate and multivariate parametrical tests [see Sokal & Rohlf (1995), Legendre & Legendre (1998) and Zar (1998) for comprehensive descriptions and full computational details] can be achieved based on these mean and associated variance bootstrap estimates (provided the bootstrapped  $SR$ -distributions are actually normally distributed):

- a **1-sample Student's *t*-test** can be done in order to test the studied sample *SR*-value against any expected *SR*-value, including 0 (corresponding to the null hypothesis that the study sample comes from a population with  $SR = 0$  for the descriptor of interest). Another closely related, but less stringent null hypothesis of interest is that, based on the studied sample, the observed (empirical) *SR*-value does not differ from the bootstrapped null distribution (corresponding to the null hypothesis that the studied and reference samples share the same reference distribution [mean and standard deviation] for the descriptor of interest);
- a **2-sample Student's or Welch's *t*-test** can be done in order to compare two samples standardized with the same reference sample (tested null hypothesis: for the descriptor of interest, the two samples come from populations with similar *SR*-values). Welch's test (Welch, 1947; Sawilowsky, 2002) is favored over Student's one when the two compared samples significantly depart from homoscedasticity (= homogeneity of variances). In order to select Student's or Welch's tests, the null hypothesis of homoscedasticity is first tested with Fisher's and Bartlett's (1937) tests;
- a **one-way Analysis of Variance (ANOVA)** can be done in order to simultaneously compare several samples (= groups) standardized with the same reference sample (tested null hypothesis: the studied samples share a single *SR*-value for the descriptor of interest). Overall homogeneity of variances is first tested with Bartlett's (1937) test; Welch's (1951) unequal-variance ANOVA must be favored over "classical" (equal-variance) ANOVA when the compared samples significantly depart from homoscedasticity (leading to an over-liberal *F*-based result). A significant ANOVA result then legitimates the computation of "post-hoc" pairwise comparisons (contrast analysis), e.g., using Tukey's HSD test. In addition to the usual *F*-statistic, it can be useful here to compute the effect-size  $\omega^2$ -statistic, a measure of the proportion of the total variability explained by between-group differences (with large samples, a highly significant ANOVA result can be reached even if the studied samples largely overlap...), such as:

$$\omega^2 = \frac{SS_{Between} - (df_{Between} \times MS_{Within})}{SS_{Total} + MS_{Within}}$$

( $\omega^2$  is an unbiased version of the simpler and more classic, but biased  $\eta^2$ -statistic  $\eta^2 = \frac{SS_{Between}}{SS_{Total}}$ ).  $\omega^2$  ranges between  $< 0$  and 1; the closer to 1, the more different the sample means relative to the overall variability, implying that the between-group variability is large with respect to the overall within-group variability;

- Provided that for each studied sample, each available specimen is known for every analyzed descriptors, a **multiple Analysis of Variance (MANOVA)** – eventually coupled with a **Canonical Variate Analysis (CVA)**, i.e., a **multi-group Discriminant Analysis** – can be achieved in order to simultaneously compare several multivariate samples standardized with the same reference sample (tested null hypothesis: the studied samples share the same *SR*-values for the descriptors under analysis). As for a one-way ANOVA, a significant MANOVA result (based on, e.g., Wilk's  $\lambda$  or the [more robust] Pillai trace) then legitimates the computation of "post-hoc" pairwise comparisons (contrast analysis), e.g., using Hotelling's  $T^2$ -test, preferably corrected for multiple testing in order to control for the increase in (type I) experimentwise error rate.

<<<<<>>>>>>

In order to help readers to go through these computations, we have designed a **user-friendly 5-sheet Excel file** (done with Excel 2003) called “**Simpson Ratio Confidence Interval.xls**” (available with this Appendix or on simple request to G. Escarguel [gilles.escarguel@univ-lyon1.fr]) allowing the following “one-descriptor” computations to be automatically done (see below, the screen-copies of the five sheets):

- **“Individual SR-values”**: this sheet calculates the individual *SR*-values for each specimen of a studied sample (up to 50 specimens per run), based on the **detailed sampled measures and on the empirical mean of the reference sample** (the number of specimens and standard deviation of the reference sample are not useful here). When all individual values are known for one or more analyzed descriptor and for each studied sample, such individual *SR*-values allow for the direct statistical comparison between samples through univariate (Student, Mann-Whitney, Kolmogorov-Smirnov, ANOVA, Kruskal-Wallis) or multivariate (MANOVA) parametric and nonparametric procedures as available in most standard statistical softwares (e.g., [PAST](#)).
- **“Confidence intervals”**: this sheet calculates parametric bootstrap estimates (10,000 iterations) of a studied sample confidence interval and null-hypothesis confidence interval based on the **size (number of measured specimens) and empirical mean and standard deviation of the studied and reference samples**. Computations include the bootstrapped mean *SR*-value and its associated standard error, standard deviation, variance, skewness and kurtosis, as well as the bootstrapped median *SR*-value and associated 90%, 95% and 99% “nonparametric” bilateral confidence intervals. Normality of the two bootstrapped distributions can be evaluated (even if not formally tested here) by direct comparison of the skewness ( $g_1$ ; coefficient of asymmetry) and kurtosis ( $g_2$ ; coefficient of “peakedness”) metrics against their respective 95% confidence intervals under the null hypothesis that  $g_1 = 0$  and  $g_2 = 0$  (expected values for a normal distribution, given within brackets on the right). In addition, percent absolute deviation between “parametric”  $\pm 1.645s^{\text{st}}$  (90%),  $\pm 1.96s^{\text{st}}$  (95%) and  $\pm 2.58s^{\text{st}}$  (99%), and “nonparametric” 90%, 95% and 99% bilateral C.I. are computed (the lower these percentages, the closer the bootstrapped distributions to normality). Statistically null  $g_1$  and  $g_2$  values, as well as very-low %<sub>A.D.</sub> are required for further parametric testing based on bootstrapped mean and variance values.
- **“1-Sample t-test”**: this sheet automatically calculates two Student’s *t*-tests linked to two closely related, but distinct null hypothesis. Based on the studied sample, the left test contrasts the bootstrapped mean *SR*-value to any given expected (parametric) *SR*-value, including 0 (→ test of nullity of the empirical *SR*-value), while the right test contrasts the bootstrapped null distribution to the observed (empirical) *SR*-value. All data values in this sheet are directly imported from the “Confidence intervals” sheet, excepted the **expected *SR*-value** against which the studied sample is to be compared (left test; default setting: 0 → test of nullity of the empirical *SR*-value).
- **“2-Sample t-test”**: this sheet allows the comparison of two samples for a given biometric descriptor, using a Student’s (equal variance) or Welch’s (unequal variances) *t*-test (Fisher’s and Bartlett’s tests for homogeneity of variances are also provided), based on the **size (number of measured specimens) and bootstrapped *SR*-mean and variance (not standard deviation) of the two samples**.
- **“multi-Sample ANOVA”**: this sheet allows the computation of a one-way ANOVA, including a preliminary Bartlett’s test for homogeneity of variances. Equal-variance ANOVA (*F*-statistic), unequal-variance ANOVA (Welch’s *F*\*-statistic), and effect-size  $\omega^2$ -statistics are computed, based on the **size (number of measured specimens) and bootstrapped *SR*-mean and variance (not standard deviation) of  $k < 25$  samples**.

Technical caveats:

- depending on your operating system settings, the **decimal mark** can be either the period (“.”) or the comma (“,”); no space or symbol is expected for the thousands separator;
- in all 5 sheets, **modifiable cells** to be informed are indicated by a gray (optional) or black (obligatory) star (“\*”). **All other cells are protected and cannot be modified;**
- **data** must be entered first, then press the <F9> function-key to **run the computations** (please wait: bootstrap computations can take a few seconds, depending on the speed of your computer);
- **results** can be freely copied/pasted on another sheet, and/or printed following a pre-formatted one-page model for each sheet;
- in the last 3 sheets (t-tests and ANOVA), ***p-values in scientific notation*** are also provided in italics within grey cells (useful for very small *p*-values);

**References**

- Bartlett, M. S., 1937. Properties of sufficiency and statistical tests. Proceedings of the Royal Statistical Society Series A 160, 268-282.
- Efron, B., Tibshirani, R.J., 1993. An introduction to the bootstrap. Chapman & Hall, London.
- Gingerich, P.D., 2000. Arithmetic or geometric normality of biological variation: an empirical test of theory. Journal of Theoretical Biology 204, 201-221.
- Gingerich, P.D., 2001. Rates of evolution on the time scale of the evolutionary process. Genetica 112-113, 127-144.
- Lande, R., 1977. On comparing Coefficients of Variation. Systematic Zoology 26, 214-217.
- Legendre P. and Legendre L., 1998. Numerical Ecology (2<sup>nd</sup> English Edition). Developments in Environmental Modelling, 20, Elsevier, Amsterdam.
- Meadow, R., 1999. The use of size index scaling techniques for research on archaeozoological collections from the Middle East. In: Becker G., Manhart H., Peters J. & Schibler J. (eds.), Historia Animalium ex Ossibus, Festschrift für Angela von den Driesch. Marie Leidorf, Rahden/Westfahlen, 285-300.
- Simpson, G.G., 1941. Explanation of Ratio Diagrams. In: Simpson G.G. (edit.), Large Pleistocene Felines of North America. American Museum Novitates 1136, 1-27.
- Simpson, G.G., Roe, A., Lewontin, R.C., 1960. Quantitative Zoology. Harcourt & Brace, New-York.
- Sokal, R. R., and Rohlf, F. J. (1995). Biometry (3rd Edition). W. H. Freeman and Co., New York.
- Sawilowsky, S.S., 2002. Fermat, Schubert, Einstein, and Behrens–Fisher: The Probable Difference Between Two Means When  $\sigma_1 \neq \sigma_2$ . Journal of Modern Applied Statistical Methods 1(2), 461-472.
- Welch, B.L., 1947. The generalization of "Student's" problem when several different population variances are involved. Biometrika 34(1-2), 28-35.
- Welch, B.L., 1951. On comparison of several mean values: an alternative approach. Biometrika 38(3/4), 330-336.
- Zar, J.H., 1998. Biostatistical analysis, 4th edition. Prentice Hall, Upper Saddle River, New Jersey.

<<<<<>>>>>

# Screen-copy for the sheet “Individual SR-values”

## SIMPSON'S LOG-RATIO (SR) INDIVIDUAL SR-VALUES

### 1. SAMPLE DATA (measured descriptor)

**Data information:** M2-Length P. macrodon (ref.: P. iturus) \*

Studied sample (calculated)	
Nb. of specimens	21
Mean	5,01
Standard deviation	0,290

Reference Sample	
Nb. of specimens	58
Mean	3,25
Standard deviation	0,245

### 2. Studied sample (detailed values)

### 3. CALCULATE: PRESS <F9>

#	Measured specimens	Value		Measured specimens	SR-Value
1	1	5,1	**	1	0,4506
2	4	4,7	**	4	0,3689
3	15	4,8	**	15	0,3900
4	16	5,1	**	16	0,4506
5	20	5,2	**	20	0,4700
6	24	4,6	**	24	0,3474
7	27	4,9	**	27	0,4106
8	32	5,1	**	32	0,4506
9	36	4,4	**	36	0,3029
10	43	4,7	**	43	0,3689
11	48	4,9	**	48	0,4106
12	50	5,2	**	50	0,4700
13	51	5,4	**	51	0,5077
14	150-1	5,3	**	150-1	0,4891
15	150-2	5,4	**	150-2	0,5077
16	160-1	5,5	**	160-1	0,5261
17	160-2	5,1	**	160-2	0,4506
18	174	4,7	**	174	0,3689
19	194	5,0	**	194	0,4308
20	204	4,9	**	204	0,4106
21	222	5,2	**	222	0,4700
22			**		
23			**		
24			**		
25			**		
26			**		
27			**		
28			**		
29			**		
30			**		
31			**		
32			**		
33			**		
34			**		
35			**		
36			**		
37			**		
38			**		
39			**		
40			**		
41			**		
42			**		
43			**		
44			**		
45			**		
46			**		
47			**		
48			**		
49			**		
50			**		

# Screen-copy for the sheet "Confidence intervals"

## SIMPSON'S LOG-RATIO (SR) BOOTSTRAPPED CONFIDENCE INTERVALS

### 1. SAMPLE DATA (measured descriptor)

<b>Data information:</b>	M2-Length P. macrodon (ref.: P. iturus) *		
<b>Studied sample</b>		<b>Reference Sample</b>	
Nb. of specimens	21 *	Nb. of specimens	58 *
Mean	5,01 *	Mean	3,25 *
Standard deviation	0,290 *	Standard deviation	0,245 *

### 2. CALCULATE: PRESS <F9>

### 3. RESULTS

**Observed SR = 0,433**

Bootstrap Confidence interval for the studied sample		Bootstrap Confidence interval for the null distribution <sup>§</sup>		
Mean SR-value	0,433	Mean SR-value	0,000	(expected: 0.0)
Standard error of the mean	0,0159	Standard error of the mean	0,0191	
Standard deviation	0,0731	Standard deviation	0,0876	
Variance	0,00534	Variance	0,00767	
Skewness	0,0195	Skewness	-0,0144	[-0.047, +0.047]
Kurtosis	0,0347	Kurtosis	-0,0120	[-0.096, +0.096]
99% C.I. - lower limit	0,392	99% C.I. - lower limit	-0,050	
95% C.I. - lower limit	0,402	95% C.I. - lower limit	-0,038	
90% C.I. - lower limit	0,407	90% C.I. - lower limit	-0,031	
Median SR-value	0,433	Median SR-value	0,000	(expected: 0.0)
90% C.I. - upper limit	0,459	90% C.I. - upper limit	0,032	
95% C.I. - upper limit	0,465	95% C.I. - upper limit	0,037	
99% C.I. - upper limit	0,474	99% C.I. - upper limit	0,048	

Percent absolute deviation between bootstrapped "parametric" and "nonparametric" C.I.			
Studied sample		Null distribution	
99% C.I. - lower limit	0,076%	99% C.I. - lower limit	0,674%
99% C.I. - upper limit	0,026%	99% C.I. - upper limit	2,645%
95% C.I. - lower limit	0,000%	95% C.I. - lower limit	1,829%
95% C.I. - upper limit	0,172%	95% C.I. - upper limit	0,101%
90% C.I. - lower limit	0,092%	90% C.I. - lower limit	0,306%
90% C.I. - upper limit	0,002%	90% C.I. - upper limit	1,371%
Mean % absolute deviation	<b>0,062%</b>	Mean % absolute deviation	<b>1,154%</b>

<sup>§</sup> Null hypothesis: no difference between the studied and reference samples

Parametric bootstrap estimates; 10,000 iterations
Boot. 99% C.I.: 0.005 (lower) & 0.995 (upper) percentiles
Boot. 95% C.I.: 0.025 (lower) & 0.975 (upper) percentiles
Boot. 90% C.I.: 0.050 (lower) & 0.950 (upper) percentiles

## Screen-copy for the sheet "1-Sample t-test"

### SIMPSON'S LOG-RATIO (SR) 1-SAMPLE STUDENT'S $t$ -TEST

#### 1. SAMPLE DATA

**Data information:** M2-Length P. macrodon (ref.: P. iturus)

Studied sample		Reference Sample		
Nb. of specimens	21	Nb. of specimens	58	<i>Values from the CI sheet</i>
Desc. mean	5,01	Desc. mean	3,25	<i>Values from the CI sheet</i>
Desc. standard deviation	0,290	Desc. standard deviation	0,245	<i>Values from the CI sheet</i>
Bootstrapped C.I. for the studied sample		Bootstrapped C.I. for the null distribution		
Bootstrapped mean SR	0,433	Bootstrapped mean SR	0,000	<i>Values from the CI sheet</i>
Boot. variance SR	0,00534	Boot. variance SR	0,00767	<i>Values from the CI sheet</i>
SR-value against which the studied sample is tested		SR-value against which the null distribution is tested		
Expected SR-value <sup>§</sup>	0,000	Observed SR-value	0,433	<i>Value from the CI sheet</i>

<sup>§</sup> Default setting: 0 ==> test of nullity

#### 2. CALCULATE: PRESS <F9>

#### 3. STUDENT'S $t$ -TESTS

Studied sample vs. expected SR	Observed SR vs. null distribution
$t = 5,926$	$t = 4,942$
$d.f. = 20$	$d.f. = 20$
$p = 0,00001$	$p = 0,00008$
$8,5E-06$	$7,9E-05$

#### Tested null hypothesis (bilateral):

$H_0$ : the studied sample comes from a population with the given SR-value

$H_0$ : the studied and reference samples share the same reference distribution (mean & std. deviation)

## Screen-copy for the sheet “2-Sample t-test”

### SIMPSON'S LOG-RATIO (SR) 2-SAMPLE STUDENT'S AND WELCH'S $t$ -TESTS

#### 1. SAMPLE DATA

<b>Data information:</b>	Two virtual samples		*
<b>Studied sample #1</b>		<b>Studied sample #2</b>	
Nb. of specimens	13	Nb. of specimens	35
Bootstrapped mean SR	0,652	Bootstrapped mean SR	0,372
Boot. variance SR	0,2456	Boot. variance SR	0,0754

#### 2. CALCULATE: PRESS <F9>

#### 3. FISHER'S- and BARTLETT'S TESTS for homogeneity of variances

Fisher's $F = 3,257$ $p = 0,00666$	Bartlett's $B = 6,918$ $p = 0,00853$
---------------------------------------	---

**CAUTION:**  
If Fisher's & Bartlett's  $p$ -values small ( $< \sim 0.05$ ; reject homoscedasticity),  
then Student's test is over-liberal ==> favor Welch's test

#### 4. STUDENT'S and WELCH'S $t$ -TESTS

<b>STUDENT:</b>	$t = 2,491$ $d.f. = 46$ $p = 0,01642$	1,6E-02
<b>WELCH:</b>	$t = 1,930$ $d.f. = 14,8$ $p = 0,07412$	7,4E-02

**Tested null hypothesis (bilateral):**  
 $H_0$ : the two samples come from populations with similar SR-values

G.E.-10/2011

Comment: in this virtual example, the two samples significantly depart from homoscedasticity (i.e., their two bootstrapped SR-variances significantly differ). In that case, Welch's test result ( $H_0$  not rejected at the 95% confidence level) must be favored over Student's test result (which suggests rejecting  $H_0$  at the 95% confidence level).

# Screen-copy for the sheet “multi-Sample ANOVA”

## SIMPSON'S LOG-RATIO (SR) MULTI-SAMPLE ANALYSIS OF VARIANCE

### 1. SAMPLE DATA (max: 25 samples)

Data information:		Five virtual samples			
Sample #	Sample name	Nb. of specimens	Bootstrapped mean SR	Bootstrapped variance SR	Data checking
1	Aaaaaa	8	0,213	0,5120	Data OK
2	Bbbbbb	13	0,456	0,1076	Data OK
3	Ccccc	26	0,438	0,0759	Data OK
4	Dddddd	15	0,189	0,2841	Data OK
5	Eeeee	18	0,631	0,1055	Data OK
6					No Data
7					No Data
8					No Data
9					No Data
10					No Data
11					No Data
12					No Data
13					No Data
14					No Data
15					No Data
16					No Data
17					No Data
18					No Data
19					No Data
20					No Data
21					No Data
22					No Data
23					No Data
24					No Data
25					No Data

### 2. CALCULATE: PRESS <F9>

### 3. BARTLETT'S TEST for homogeneity of variances

**B** = 17,082      d.f. = 4      **p** = 0,00186

#### CAUTION:

If Bartlett's *p*-value small (< ~0.05; reject homoscedasticity), then "classical" (equal-variance) ANOVA is over-liberal ==> favor Welch's (unequal-variance) ANOVA

### 4. ANALYSIS OF VARIANCE

Number of studied samples: **k = 5**  
 Number of studied specimens: **N = 80**  
 Grand mean: **M = 0,415**  
 Proportion of variance explained:  **$\omega^2 = 0,088$**

#### ANOVA table

Source	S.S.	d.f.	M.S.	<b>F</b>
Between samples	1,968	4	0,4920	<b>2,942</b>
Within samples	12,544	75	0,1672	
Total	14,512	79	0,1837	

Significance (**F**): **p = 0,02575**      2,6E-02

#### Unequal-variance ANOVA using Welch's correction

Source	"M.S."	d.f.	<b>F*</b>
Between samples	2,4937	4	<b>2,320</b>
Within samples	1,0748	26,8	

Significance (**F\***): **p = 0,08356**      8,4E-02

Tested null hypothesis:  $H_0$ : the studied samples share a single SR-value

G.E.-10/2011

*Comment:* in this virtual example, the five samples significantly depart from homoscedasticity (i.e., at least one sample shows a bootstrapped SR-variance that significantly differs from the others). In that case, Welch's unequal-variance result ( $H_0$  not rejected at the 95% confidence level) must be favored over the "classical" equal-variance ANOVA result (which suggests rejecting  $H_0$  at the 95% confidence level).

## **Appendix B.** Biostatistical analysis of dental measurements: Computational details regarding $V^*$ , $b$ and $D$ metrics, and mixture analysis results (G. Escarguel)

In order to better characterize the biometrical homogeneity, and thus possible taxonomic status of the studied fossil assemblage, we computed various complementary metrics focusing on distinct aspects of the sample distributions of three dental measurements: length (L), width (W) and  $\ln(L \times W)$  of the P3/p3 to M3/m3 of all measured *Palaeostylops* teeth, and measured teeth a priori assigned to *P. iturus* or to *P. macrodon* (Table S1). The two first metrics focus on the relative variability and shape of the sample distributions, whereas the last two techniques aim at estimating the dimorphism ratio involved by the available data. Ratios estimated for the “all-*Palaeostylops*” samples (first column of results in Table S1) can be directly compared to the expected ratios directly calculated from measured teeth a priori (and independently) assigned to *P. iturus* or *P. macrodon*.

### **Unbiased coefficient of variation ( $V^*$ )**

The unbiased coefficient of variation,

$$V^* = \left(1 + \frac{1}{4N}\right) \frac{100s}{\bar{x}}$$

where  $N$  is the number of sampled specimens (sample size), and  $\bar{x}$  and  $s$  are the sample mean and standard deviation, i.e., the first and second moments of the studied distribution, respectively.  $V^*$  is basically a standard deviation expressed as a percentage of the mean; it accounts for the relative amount of variation in a population, thus allowing for direct comparisons of variability between samples with different empirical means (Sokal & Rohlf, 1995).

Over the last decades, several studies (e.g., Simpson et al., 1960; Gingerich, 1974, 1981; Plavcan & Cope, 2001) have shown that empirical values for cranio-dental and post-cranial linear dimensions in large homogenous samples of well-defined extant mammal species usually range between 4 and 10, even when sexual dimorphism is observed. Nevertheless, noteworthy counter-examples do exist, with values significantly larger than 10 even in the absence of strong sexual dimorphism (e.g., Polly, 1997, Plavcan & Cope, 2001). Thus, if a *large*  $V$ -value can usually be considered as a rough indicator of sample heterogeneity (due to, e.g., intra-specific sexual dimorphism or to multi-species mixing), this simple metric cannot be used alone in order to safely characterize the taxonomic homogeneity and/or dimorphism status of a given biometric sample (Kelley & Plavcan, 1998).

### **Bimodality index ( $b$ )**

Complementary to the coefficient of variation, which focuses on the relative amount of inter-individual variability regardless of the shape of the underlying sample distribution, the bimodality index  $b$  focuses on the shape of the sample distribution – regardless on the relative amount of inter-individual variability. This coefficient relies on the computation of the third and fourth standardized moments about the mean of the studied distribution, i.e., its skewness ( $M_3$ ) and excess kurtosis ( $M_4$ ), following:

$$b = \frac{M_3^2 + 1}{M_4 + \frac{3(N-1)^2}{(N-2)(N-3)}}$$

where

$$M_3 = \frac{N \left( \sum_{i=1}^{i=N} (x_i - \bar{x})^3 \right)}{(N-1)(N-2) \left( \sqrt{\frac{1}{N} \sum_{i=1}^{i=N} (x_i - \bar{x})^2} \right)^3}$$

and

$$M_4 = \frac{N(N+1) \left( \sum_{i=1}^{i=N} (x_i - \bar{x})^4 \right)}{(N-1)(N-2)(N-3) \left( \sqrt{\frac{1}{N} \sum_{i=1}^{i=N} (x_i - \bar{x})^2} \right)^4} - \frac{3(N-1)^2}{(N-2)(N-3)}$$

are the estimators of the population skewness and excess kurtosis, respectively, and  $\bar{x}$  is the sample mean (Der & Everitt, 2002). The skewness metric quantifies the lack of symmetry of the distribution ( $M_3 > 0$  indicates a right-tailed distribution;  $M_3 < 0$  a left-tailed distribution), whereas the excess kurtosis metric mainly measures the “peakedness” of the distribution ( $M_4 > 0$  indicates excess of very small and large deviations around the mean, leading to a distribution with an acute mode and fat tails [leptokurtic distribution];  $M_4 < 0$  indicates excess of medium deviations around the mean, leading to a distribution with a wide mode and thin tails [platykurtic distribution]).

The value of  $b$  ranges between less than zero and one. Expected values of  $b$  for some remarkable distributions are:

- \*  $1/3 = 0.33\bar{3}$  for a single normal distribution (where, by definition,  $M_3 = M_4 = 0$ );
- \*  $5/9 = 0.55\bar{5}$  for a continuous uniform distribution (where, by definition,  $M_3 = 0$  and  $M_4 = -6/5$ );
- \*  $1$  for a Bernoulli distribution with  $p = 1 - q = 0.5$  (where, by definition,  $M_3 = 0$  and  $M_4 = -2$ , the lowest possible excess kurtosis, and thus most platykurtic distribution of all).

Thus, a  $b$ -value significantly lower than  $5/9$  may indicate unimodality, whereas  $b > 5/9$  is likely to reflect a two (or more) group structure, and thus bimodality (or multi-modality) of the underlying distribution.

### **Dimorphism ratio of the “method-of-moments” technique ( $D$ )**

In any given sample assemblage, large  $V^*$  and/or  $b$ -values can be the consequence of the mixing of two distinct groups with different mean (and standard deviation). Nevertheless, small  $V^*$  and/or  $b$ -values can be obtained even in actually dimorphic species when groups strongly overlap, i.e., when group-means only slightly differ relative to their associated standard deviation. In any cases, different methods are available in order to identify the mixed occurrence of two distinct groups, and then to estimate the ratio between the largest and smallest group means. Among these methods, the dimorphism ratio  $D$  of the “method-of-moments (MoM)” technique (Josephson et al., 1996) appears as one of the most reliable method, especially in conditions of low within-group coefficient of variation (~5%) and

relatively large sample size (~50 or more), which is frequently the case here (Kościński & Pietraszewski, 2004).

For any given biometric descriptor, based on an available pooled sample made of  $N$  measures with overall mean  $\bar{x}$ , variance  $s^2$  and kurtosis  $m_4$ , the MoM technique makes use of the fact that the greater the difference between means of the two groups is, the lower the pooled-sample kurtosis (i.e., the more platykurtic the pooled distribution). From this premise, it estimates the proportional difference, noted  $\delta$ , between the pooled-mean  $\bar{x}$  and the largest group-mean, as:

$$\delta = \left( -\bar{x}^4 + \frac{3}{2}s^2 - \frac{1}{2}m_4 \right)^{1/4}$$

(negative values of  $\delta$  are set to 0, making  $\delta \geq 0$  by definition).

For any variable  $X$ , computation of  $\delta$  is made easier by using the following 4-step protocol (Josephson et al., 1996):

1. Ln-transform the sample data:  $Y = \text{Ln}(X)$ ;
2. Standardize (i.e., center-reduce)  $Y$ :  $U = \frac{Y - \bar{Y}}{s_Y}$ ;
3. Calculate the kurtosis of  $U$ :  $m_4 = \frac{1}{N} \sum_{i=1}^N u_i^4$ ;
4. Calculate  $\delta' = \left( \frac{3 - m_4}{2} \right)^{1/4}$ , then  $\delta = \delta' s_Y$ .

This protocol makes obvious that  $\delta$  is computed in  $\text{Ln}(X)$  (not  $X$ )-unit, and thus represents a proportional difference, i.e., a Ln-ratio between two quantities. Under the working hypothesis that the two groups within the pooled sample are equi-abundant (i.e.,  $\bar{x}$  is half-way the two group means), the dimorphism ratio  $D$  between them is finally estimated as  $D = e^{2\delta}$ . Nevertheless,  $D$  remains reasonably unbiased and accurate even with strongly unbalanced abundances (e.g., 80%/20%), provided the true underlying dimorphism ratio remains moderate ( $< \sim 1.2$ ) (Josephson et al., 1996; Rehg & Leigh, 1999; Kościński & Pietraszewski, 2004).

### **Mixture analysis and associated Akaike information criterion-based evidence ratio**

In unbalanced abundance conditions, most of the index-based methods available to estimate the dimorphism ratio between two groups – including truly nonparametric techniques such as Lee's (2001) Assigned Resampling Method – performs quite poorly (increasing bias and/or decreasing precision), all the more when dealing with large dimorphism ratio-values ( $> \sim 1.3$ ) and/or large within-group variability (Kościński & Pietraszewski, 2004). In those cases, use of maximum-likelihood Mixture Analysis can be a useful complementary procedure in a model selection-based approach contrasting solutions with one or two normal distributions (Titterton et al., 1985; Harper, 1999). When the best model given the available data turns out to be an admixture of two groups, the ratio of their estimated means (corresponding to Meiri *et al.*'s [2005] Sexual Size Dimorphism [SSD] index) provides a direct estimate of the degree of dimorphism between the two identified groups.

In this work we used the Mixture Analysis routine implemented in PAST, v. 2.07 (Hammer et al., 2001). This routine allows the selection of the “best”, i.e., the most likely

mixture of normal distributions given the available data, using the Akaike information criterion (Akaike, 1973, 1974) corrected for small-sample bias ( $AIC_c$ ; Sugiura, 1978; Hurvich & Tsai, 1989) as the selection criterion, such as:

$$AIC_c = -2\ln(L) + 2k + \frac{2k(k+1)}{N-k-1} = -2\ln(L) + \frac{2kN}{N-k-1},$$

where  $N$  is the sample size,  $k$  is the number of parameters to be estimated in the mixture model, and  $L$  is the likelihood of the mixture model given the available data. In the 3-part definition (1<sup>st</sup> formula; the two first elements representing the [biased]  $AIC$  as originally defined by Akaike), the first term measures the lack of model fit to the observed data, the second term accounts for the complexity of the model, and the third term is a correction factor accounting for a negative sample-size bias of the two first ones. Such an approach combining model's power and parsimony has its foundation in Kullback-Leibler Information Theory: for large sample size  $N$  and relatively small  $K$  values,  $AIC$  is an approximately unbiased estimator of the expected Kullback discrepancy between the (unknown) *true* generating model (or, at least, a *true probabilistic characterization* of it) and the (fitted) approximating model  $M$  derived from the available sample (Akaike, 1973; Burnham & Anderson, 2002).

The mixture solution with the lowest  $AIC_c$ -value is preferred as the best fit without overfitting of the model to the empirical data (Johnson & Omland, 2004). In some cases, the mixture analysis failed due to the lack of convergence of the EM algorithm used by PAST toward a 2-group stable solution, suggesting that given the available data, the 1-group solution is more likely. For each mixture solution, the PAST routine also provides the mean, standard deviation and proportion of each normal distribution constituting the mixture model; maximum likelihood-based assignment of each data points to one of the groups is also possible.

Based on the  $AIC_c$ -values obtained for 1-group and 2-group solutions, selection of the simplest model that adequately accommodates the observed data can be achieved based on the observed difference (noted  $\Delta^{AIC}$ ) between the highest and the lowest  $AIC_c$ -values, corresponding to the poorest and best mixture model based on the available data, respectively. The  $\Delta^{AIC}$ -metric, even if not a distance, is a convenient measure of how close two models are one each other given the available data.

In the simple case of the comparison between two models,  $M_i$  and  $M_j$ , the latter being the lowest- $AIC_c$  model ( $\Rightarrow \Delta_j^{AIC} = 0$  and  $\exp\left(-\frac{\Delta_j^{AIC}}{2}\right) = 1$ , where  $\exp\left(-\frac{\Delta^{AIC}}{2}\right)$  is proportional to the relative likelihood of the compared models),

$$w_i = \frac{\exp\left(-\frac{\Delta_i^{AIC}}{2}\right)}{1 + \exp\left(-\frac{\Delta_i^{AIC}}{2}\right)} \text{ and } w_j = \frac{1}{1 + \exp\left(-\frac{\Delta_i^{AIC}}{2}\right)}$$

are the Akaike weights (i.e., normalized relative likelihoods) associated with models  $M_i$  and  $M_j$  (Burnham & Anderson 2002). Most particularly,  $w_i$  ( $< 1$ ) measures the probability (the *risk*) that model  $M_i$  is more likely than the minimum  $AIC$  (or  $AIC^c$ ) model  $M_j$ , whereas

$$w_j / w_i = \frac{1}{\exp\left(-\frac{\Delta_i^{AIC}}{2}\right)} = \exp\left(\frac{\Delta_i^{AIC}}{2}\right)$$

is the evidence ratio between models  $M_j$  and  $M_i$ , i.e., the relative support for the lowest- $AIC_c$  model  $M_j$  over model  $M_i$ : the higher the  $w_j/w_i$ -value, the stronger the evidence for model  $M_j$  over model  $M_i$ .

### Nonparametric Bootstrap estimates of the confidence intervals associated with sample $V^*$ , $b$ , $D$ and Ex.R.-values

As shown by Kościński & Pietraszewski (2004) in their simulation-based comparative analysis of various techniques to estimate dimorphism ratio, in most situations the error of estimation resulted mainly from sampling error, not from the error of method. We thus estimated statistical confidence intervals around the empirical (sample) values obtained for the  $V^*$ ,  $b$  and  $D$ -metrics, and the expected dimorphism ration (Ex.R.), using nonparametric bootstrap, a computer-intensive technique based on random resampling with replacement of the available data (Efron & Tibshirani, 1993; Manly, 1997). When the mixture analysis favored a 2-group solution, the statistical confidence interval around the corresponding SSD-index was estimated using parametric bootstrap (Efron & Tibshirani, 1993) based on the mixture solution (estimated number of individuals, mean and standard error of the mean for each group).

The core operational concept of the Bootstrap theory is that, for any random variable with empirical value  $x$  estimating without bias the parametric value  $\zeta$ , the distribution of  $x$  around  $\zeta$  (which is unknown as far as its sampling error cannot be analytically calculated) can be estimated by the simulated distribution of “bootstrap” pseudo-values  $x^{\text{B}}$  around  $x$ . In the very same way  $x$  is calculated from a given sample  $S$ , a pseudo-values  $x^{\text{B}}$  is calculated:

- from a pseudo-sample  $S^{\text{B}}$  whose individual values are randomly drawn with replacement from  $S$  (nonparametric bootstrap);
- by random sorting within the parametric (e.g., Normal, Log-normal, Exponential...) distributions of the underlying computational elements of  $x$ , with distribution parameters directly estimated from  $S$  (parametric bootstrap).

In other words, the probability that  $x^{\text{B}} = x$  is *about* the same that the conditional probability, given  $x$ , that  $x = \zeta$ , which is to say, using the bootstrap standard deviation  $s_x^{\text{B}}$  ( $z_{\alpha/2}$  being the centered-reduced normal deviation with probability  $100[1 - \alpha/2]\%$ ):

$$x - z_{\alpha/2} s_x^{\text{B}} < \zeta < x + z_{\alpha/2} s_x^{\text{B}} \Leftrightarrow \zeta - z_{\alpha/2} s_x^{\text{B}} < x < \zeta + z_{\alpha/2} s_x^{\text{B}}.$$

Rather than calculating a bootstrap standard deviation from the resulting bootstrapped distribution (requiring that  $x^{\text{B}}$  is normally distributed around  $x$ , which is generally not the case when dealing with ratios or bounded quantities such as  $V^*$ ,  $b$ ,  $D$ , SSD and Ex.R.), we extracted the nonparametric confidence interval limits directly from the distribution of pseudo-values. In all cases, 100,000 bootstrap iterations were done, leading to a bootstrap distribution of 100,000 pseudo-values (including the original sample one), from which bilateral 95% confidence interval limits (i.e., the 2.5<sup>th</sup> and 97.5<sup>th</sup> percentiles of the cumulated distribution functions) were extracted for  $V^*$ ,  $b$  and Ex.R., and the lower limit of the unilateral 95% confidence interval (i.e., the 5<sup>th</sup> percentile of the cumulated distribution function) was extracted for  $D$  and SSD. This later values (lower limits of the unilateral 95% confidence intervals for  $D$  and SSD) allows to test for significance (at the 5% significance level) the null hypothesis that  $D = 1$  or  $SSD = 1$  (absence of dimorphism) against the unilateral alternate hypothesis that  $D > 1$  or  $SSD > 1$  (involving the presence of a dimorphism).

## References

- Akaike, H., 1973. Information Theory and an Extension of the Maximum Likelihood Principle. In: 2nd International Symposium on Information Theory, B. N. Petrov & F. Csaki (eds.), Akademia Kiado, Budapest, 267-281.
- Akaike, H., 1974. A new look at the statistical model identification. *IEEE Transactions on Automatic Control* 19, 716-723.
- Akaike, H., 1983. Information measures and model selection. *In: Proceedings of the 44th Session of the International Statistical Institute*, 277-291.
- Burnham, K. P., Anderson, D. R., 2002. *Model selection and multi-model inference: a practical information-theoretic approach*. Springer-Verlag, New York, USA.
- Der, G., Everitt, B.S., 2002. *A handbook of statistical analyses using SAS*, 2nd ed. Chapman & Hall/CRC, Boca Ratón, CA.
- Efron, B., Tibshirani, R.J., 1993. *An introduction to the bootstrap*. Chapman & Hall, London.
- Gingerich, P.D., 1974. Size variability of the teeth in living mammals and the diagnosis of closely related sympatric fossil species. *Journal of Paleontology* 48, 895-903.
- Gingerich, P.D., 1981. Variation, sexual dimorphism, and social structure in the early Eocene horse *Hyracotherium* (Mammalia, Perissodactyla). *Paleobiology* 7, 443-455.
- Hammer, Ø., Harper, D.A.T., Ryan, P. D., 2001. PAST: Paleontological Statistics Software Package for Education and Data Analysis. *Palaeontologia Electronica* 4(1), 9 p.
- Harper, D.A.T., 1999. *Numerical Palaeobiology*. John Wiley & Sons, Chichester.
- Hurvich, C.M., Tsai, C.-L., 1989. Regression and time series model selection in small samples. *Biometrika* 76(2), 297-307.
- Johnson, J.B., Omland, K.S., 2004. Model selection in ecology and evolution. *Trends in Ecology and Evolution* 19(2), 101-108.
- Josephson, S.C., Juell, K.E., Rogers, A.R., 1996. Estimating sexual dimorphism by method-of-moments. *American Journal of Physical Anthropology* 100, 191-206.
- Kelley, J., Plavcan, J.M., 1998. A simulation test of hominoid species number at Lufeng, China: implications for the use of the coefficient of variation in paleotaxonomy. *Journal of Human Evolution* 35, 577-596.
- Kościński, K., Pietraszewski, S., 2004. Methods to estimate sexual dimorphism from unsexed samples: A test with computer-generated samples. *Anthropological Review* 67, 33-55.
- Lee, S.-H., 2001. Assigned Resampling Method: A new method to estimate size sexual dimorphism in samples of unknown sex. *Anthropological Review* 64, 21-39.
- Manly, B.F.J., 1997. *Randomization, Bootstrap and Monte Carlo methods in biology* (2nd Edition). Chapman & Hall/CRC, Boca Raton.
- Meiri, S., Dayan, T., Simberloff, D., 2005. Variability and sexual size dimorphism in carnivores: testing the niche variation hypothesis. *Ecology* 86, 1432-1440.
- Plavcan, J.M., Cope, D.A., 2001. Metric variation and species recognition in the fossil record. *Evolutionary Anthropology* 10, 204-222.
- Polly, P.D., 1997. Ancestry and species definition in paleontology: a stratocladistic analysis of Paleocene-Eocene Viverravidae (Mammalia, Carnivora) from Wyoming. *Contributions from the Museum of Paleontology, The University of Michigan* 30(1), 1-53.
- Rehg, J.A., Leigh, S.R., 1999. Estimating sexual dimorphism and size differences in the fossil record: a test of methods. *American Journal of Physical Anthropology* 110, 95-104.
- Simpson, G.G., Roe, A., Lewontin, R.C., 1960. *Quantitative Zoology*. Harcourt & Brace, New-York.
- Sokal, R. R., Rohlf, F. J. (1995). *Biometry* (3rd Edition). W. H. Freeman and Co., New York.
- Sugiura, N., 1978. Further Analysis of the Data by Akaike's Information Criterion and the Finite Corrections. *Communications in Statistics: Theory and Methods* A7, 13-26.
- Titterton, D., Smith, A., Makov, U., 1985. *Statistical analysis of finite mixture distributions*. John Wiley & Sons, Chichester, U.K.

**Supplementary Table S1.** Unbiased coefficient of variation ( $V^*$ ), bimodality index ( $b$ ), Dimorphism ratio of the “method-of-moments (MoM)” technique ( $D$ ) and mixture analysis (**Mixt.**) results for the length (L), width (W) and Ln(L × W) of the upper and lower third premolars to third molars of all measured *Palaeostylops* teeth, and measured teeth a priori assigned to *P. iturus* or to *P. macrodon* ( $N$ : number of measured specimens). **Ex.R.**: expected ratio between the largest and smallest group means based on the a priori assignment of specimens to one of the two groups. Mom- and mixture analysis-based results indicating a 2-group structure of the analyzed sample are highlighted in red. See **Appendix B** for full computational details.

$V^*$ ,  $b$ , Ex.R.: sample value and 95% bilateral bootstrapped confidence intervals (within brackets; nonparametric bootstrap, 100,000 iterations).  $D$ : sample value and lower limit of the 95% unilateral bootstrapped confidence interval (within brackets; nonparametric bootstrap, 100,000 iterations). Mixt.: evidence ratio for the best supported (1 or 2 groups) mixture model, based on their respective Akaike criterion values; within parenthesis (when the 2-group solution is favored): ratio between the inferred largest and smallest group means and lower limit of the 95% unilateral bootstrapped confidence interval (within brackets; parametric bootstrap, 100,000 iterations). Some 2-group mixture analyses failed due to the lack of convergence toward a stable solution.

Upper teeth		<i>Palaeostylops</i>	<i>P. iturus</i>	<i>P. macrodon</i>
P3 - Length	$N$	49		
	$V^*$	8.46 [6.58 - 10.07]		
	$b$	0.309 [0.237 - 0.452]		
	$D$	1.000 [1.000]		
	Mixt.	1 gr.: 2.2 (---)		
	Ex.R.	1.110 [1.059 - 1.167]		
P3 - Width	$N$	48		
	$V^*$	9.31 [7.00 - 11.37]		
	$b$	0.368 [0.270 - 0.555]		
	$D$	1.000 [1.000]		
	Mixt.	Failed		
	Ex.R.	1.112 [1.029 - 1.202]		
P3 - Ln(LxW)	$N$	48		
	$V^*$	13.20 [9.73 - 16.50]		
	$b$	0.424 [0.333 - 0.579]		
	$D$	1.000 [1.000]		
	Mixt.	2 gr.: 1.82 (1.184 [1.112])		
	Ex.R.	1.182 [1.083 - 1.301]		
P4 - Length	$N$	63	34	15
	$V^*$	7.75 [6.09 - 9.17]	5.87 [3.66 - 8.01]	7.58 [4.26 - 9.44]
	$b$	0.377 [0.268 - 0.535]	0.376 [0.306 - 0.633]	0.514 [0.344 - 0.695]
	$D$	1.000 [1.000]	1.000 [1.000]	1.094 [1.000]
	Mixt.	2 gr.: 3.7 (1.198 [1.165])	Failed	Failed
	Ex.R.	1.088 [1.045 - 1.135]		

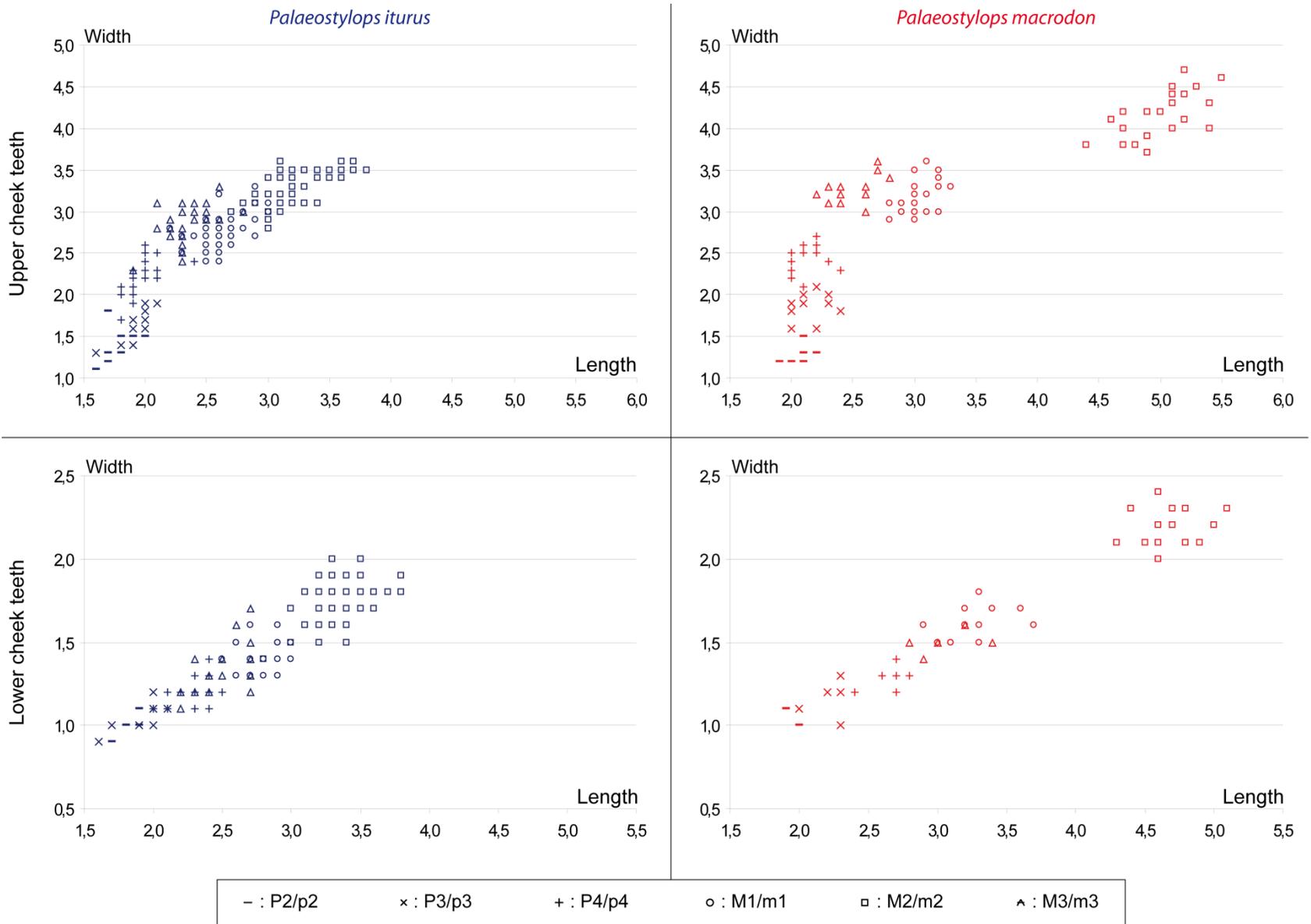
P4 - Width	<i>N</i>	63	35	14
	<i>V*</i>	9.18 [7.53 - 10.69]	8.71 [6.41 - 10.77]	7.03 [4.40 - 8.88]
	<i>b</i>	0.337 [0.278 - 0.477]	0.291 [0.235 - 0.487]	0.314 [0.246 - 0.547]
	<i>D</i>	1.000 [1.000]	1.000 [1.000]	1.104 [1.000]
	Mixt.	1 gr.: 4.5 (---)	<i>Failed</i>	1 gr.: 25.3 (---)
	Ex.R.	1.092 [1.044 - 1.142]		
	P4 - Ln(LxW)	<i>N</i>	61	34
<i>V*</i>		9.83 [7.94 - 11.48]	9.02 [6.65 - 11.16]	6.21 [4.33 - 7.36]
<i>b</i>		0.412 [0.331 - 0.530]	0.312 [0.255 - 0.542]	0.410 [0.318 - 0.607]
<i>D</i>		1.000 [1.000]	1.000 [1.000]	<b>1.112 [1.046]</b>
Mixt.		1 gr.: 1.0 (---)	<i>Failed</i>	1 gr.: 5.0 (---)
Ex.R.		1.109 [1.063 - 1.157]		
M1 - Length		<i>N</i>	74	50
	<i>V*</i>	9.41 [8.14 - 10.51]	7.12 [5.74 - 8.28]	5.00 [3.61 - 5.96]
	<i>b</i>	0.435 [0.368 - 0.545]	0.349 [0.276 - 0.501]	0.386 [0.284 - 0.570]
	<i>D</i>	<b>1.156 [1.116]</b>	1.089 [1.000]	<b>1.084 [1.032]</b>
	Mixt.	<b>2 gr.: 3.0 (1.162 [1.139])</b>	1 gr.: 1.49 (---)	<i>Failed</i>
	Ex.R.	1.163 [1.130 - 1.197]		
	M1 - Width	<i>N</i>	75	50
<i>V*</i>		9.81 [8.39 - 11.01]	7.29 [5.79 - 8.55]	6.62 [4.85 - 7.81]
<i>b</i>		0.458 [0.377 - 0.563]	0.372 [0.292 - 0.510]	0.436 [0.336 - 0.603]
<i>D</i>		<b>1.154 [1.090]</b>	1.083 [1.000]	<b>1.113 [1.052]</b>
Mixt.		<b>2 gr.: 4.3 (1.145 [1.116])</b>	1 gr.: 3.0 (---)	1 gr.: 2.7 (---)
Ex.R.		1.155 [1.116 - 1.195]		
M1 - Ln(LxW)		<i>N</i>	74	50
	<i>V*</i>	8.67 [7.61 - 9.56]	6.31 [5.14 - 7.23]	4.46 [3.43 - 5.17]
	<i>b</i>	0.505 [0.434 - 0.596]	0.450 [0.346 - 0.581]	0.446 [0.344 - 0.631]
	<i>D</i>	<b>1.156 [1.127]</b>	1.096 [1.000]	<b>1.079 [1.051]</b>
	Mixt.	<b>2 gr.: 42.5 (1.151 [1.130])</b>	2 gr.: 1.49 (1.136)	<b>2 gr.: 3.6 (1.079 [1.064])</b>
	Ex.R.	1.150 [1.121 - 1.180]		
	M2 - Length	<i>N</i>	79	58
<i>V*</i>		22.21 [19.80 - 23.79]	7.57 [6.21 - 8.72]	5.85 [4.16 - 7.14]
<i>b</i>		0.779 [0.722 - 0.832]	0.383 [0.292 - 0.562]	0.356 [0.283 - 0.541]
<i>D</i>		<b>1.407 [1.103]</b>	1.093 [1.000]	1.087 [1.000]
Mixt.		<b>2 gr.: 2.5x10<sup>19</sup> (1.537 [1.499])</b>	<b>2 gr.: 2.3 (1.145 [1.122])</b>	1 gr.: 9.9 (---)
Ex.R.		1.541 [1.493 - 1.588]		

M2 - Width	<i>N</i>	80	58	21
	<i>V*</i>	12.42 [10.48 - 13.97]	6.17 [5.03 - 7.16]	6.93 [5.10 - 8.21]
	<i>b</i>	0.560 [0.462 - 0.657]	0.503 [0.410 - 0.628]	0.388 [0.298 - 0.552]
	<i>D</i>	1.161 [1.000]	1.061 [1.000]	1.119 [1.064]
	Mixt.	2 gr.: 97734 (1.260 [1.226])	2 gr.: 944 (1.096 [1.078])	1 gr.: 3.9 (---)
	Ex.R.	1.259 [1.218 - 1.300]		
M2 - Ln(LxW)	<i>N</i>	79	58	21
	<i>V*</i>	12.48 [10.96 - 13.59]	5.23 [4.31 - 6.01]	3.81 [2.82 - 4.54]
	<i>b</i>	0.685 [0.617 - 0.758]	0.377 [0.302 - 0.483]	0.391 [0.312 - 0.568]
	<i>D</i>	1.216 [1.097]	1.071 [1.000]	1.063 [1.033]
	Mixt.	2 gr.: $1.2 \times 10^{13}$ (1.276 [1.255])	1 gr.: 2.1 (---)	1 gr.: 5.0 (---)
	Ex.R.	1.280 [1.254 - 1.306]		
M3 - Length	<i>N</i>	56	39	16
	<i>V*</i>	8.50 [6.59 - 10.20]	7.68 [5.64 - 9.41]	7.69 [5.41 - 9.14]
	<i>b</i>	0.273 [0.217 - 0.436]	0.263 [0.208 - 0.494]	0.422 [0.340 - 0.595]
	<i>D</i>	1.000 [1.000]	1.000 [1.000]	1.133 [1.000]
	Mixt.	1 gr.: 1.42 (---)	1 gr.: 5.6 (---)	1 gr.: 1.30 (---)
	Ex.R.	1.048 [1.004 - 1.094]		
M3 - Width	<i>N</i>	53	37	15
	<i>V*</i>	8.43 [6.36 - 10.23]	7.45 [5.14 - 9.32]	5.27 [3.07 - 6.61]
	<i>b</i>	0.277 [0.208 - 0.494]	0.474 [0.299 - 0.674]	0.438 [0.289 - 0.645]
	<i>D</i>	1.000 [1.000]	1.000 [1.000]	1.066 [1.000]
	Mixt.	2 gr.: 2.7 (1.031 [1.004])	2 gr.: 8.8 (1.078 [1.045])	1 gr.: 6.3 (---)
	Ex.R.	1.115 [1.078 - 1.155]		
M3 - Ln(LxW)	<i>N</i>	52	36	15
	<i>V*</i>	7.77 [5.78 - 9.59]	6.91 [4.77 - 9.06]	5.33 [3.16 - 6.33]
	<i>b</i>	0.282 [0.223 - 0.491]	0.367 [0.286 - 0.611]	0.537 [0.368 - 0.682]
	<i>D</i>	1.000 [1.000]	1.000 [1.000]	1.083 [1.000]
	Mixt.	2 gr.: 20.1 (1.028 [1.001])	2 gr.: 2.5 (1.055 [1.027])	2 gr.: 13.7 (1.112 [1.097])
	Ex.R.	1.085 [1.050 - 1.123]		

Lower teeth		<i>Palaeostylops</i>	<i>P. iturus</i>	<i>P. macrodon</i>
p3 - Length	<i>N</i>	38		
	<i>V*</i>	9.62 [7.25 - 11.52]		
	<i>b</i>	0.37 [0.265 - 0.510]		
	<i>D</i>	1.040 [1.000]		
	Mixt.	<i>Failed</i>		
	Ex.R.	1.162 [1.089 - 1.244]		
p3 - Width	<i>N</i>	38		
	<i>V*</i>	10.44 [8.19 - 12.21]		
	<i>b</i>	0.366 [0.285 - 0.494]		
	<i>D</i>	1.163 [1.000]		
	Mixt.	1 gr.: 7.4 (---)		
	Ex.R.	1.133 [1.031 - 1.233]		
p3 - Ln(LxW)	<i>N</i>	37		
	<i>V*</i>	24.55 [18.55 - 29.67]		
	<i>b</i>	0.354 [0.273 - 0.486]		
	<i>D</i>	1.000 [1.000]		
	Mixt.	1 gr.: 3.8 (---)		
	Ex.R.	1.400 [1.186 - 1.694]		
p4 - Length	<i>N</i>	50	16	7
	<i>V*</i>	9.31 [7.15 - 11.27]	6.03 [3.40 - 7.73]	4.96 [1.44 - 7.07]
	<i>b</i>	0.262 [0.211 - 0.469]	0.464 [0.280 - 0.672]	0.408 [0.119 - 0.645]
	<i>D</i>	1.000 [1.000]	1.054 [1.000]	1.000 [1.000]
	Mixt.	<i>Failed</i>	1 gr.: 1.51 (---)	<i>Failed</i>
	Ex.R.	1.155 [1.104 - 1.204]		
p4 - Width	<i>N</i>	52	16	8
	<i>V*</i>	10.22 [7.76 - 12.37]	7.57 [4.50 - 9.73]	6.68 [3.74 - 7.94]
	<i>b</i>	0.413 [0.309 - 0.584]	0.422 [0.267 - 0.723]	0.307 [0.178 - 0.627]
	<i>D</i>	1.000 [1.000]	1.080 [1.000]	1.116 [1.053]
	Mixt.	2 gr.: 2.2 (1.154 [1.089])	1 gr.: 7.3 (---)	1 gr.: 1746 (---)
	Ex.R.	1.084 [1.026 - 1.144]		
p4 - Ln(LxW)	<i>N</i>	50	16	7
	<i>V*</i>	15.95 [12.87 - 18.70]	11.35 [7.36 - 14.31]	7.67 [3.20 - 10.33]
	<i>b</i>	0.338 [0.279 - 0.509]	0.290 [0.238 - 0.555]	0.237 [0.154 - 0.526]
	<i>D</i>	1.000 [1.000]	1.161 [1.000]	1.098 [1.000]
	Mixt.	1 gr.: 5.0 (---)	1 gr.: 24.8 (---)	1 gr.: 24343 (---)
	Ex.R.	1.215 [1.128 - 1.306]		

m1 - Length	<i>N</i>	69	31	14
	<i>V*</i>	10.60 [8.45 - 12.44]	4.94 [3.91 - 5.74]	6.93 [3.88 - 8.84]
	<i>b</i>	0.408 [0.304 - 0.567]	0.421 [0.336 - 0.580]	0.327 [0.209 - 0.567]
	<i>D</i>	1.000 [1.000]	1.082 [1.049]	1.082 [1.000]
	Mixt.	2 gr.: 14.2 (1.172 [1.149])	2 gr.: 1.77 (1.089 [1.074])	1 gr.: 5.1 (---)
	Ex.R.	1.173 [1.129 - 1.220]		
m1 - Width	<i>N</i>	68	29	14
	<i>V*</i>	9.01 [7.43 - 10.36]	6.68 [5.09 - 7.82]	5.81 [3.53 - 7.23]
	<i>b</i>	0.387 [0.300 - 0.515]	0.417 [0.323 - 0.553]	0.350 [0.241 - 0.595]
	<i>D</i>	1.106 [1.000]	1.108 [1.000]	1.085 [1.000]
	Mixt.	failed	1 gr.: 3.7 (---)	1 gr.: 20.7 (---)
	Ex.R.	1.131 [1.090 - 1.174]		
m1 - Ln(LxW)	<i>N</i>	66	28	14
	<i>V*</i>	12.03 [10.14 - 13.63]	6.78 [5.29 - 7.88]	6.53 [4.49 - 7.71]
	<i>b</i>	0.415 [0.320 - 0.557]	0.414 [0.323 - 0.562]	0.390 [0.266 - 0.583]
	<i>D</i>	1.177 [1.000]	1.117 [1.072]	1.116 [1.055]
	Mixt.	2 gr.: 10.5 (1.259 [1.230])	1 gr.: 1.65 (---)	1 gr.: 2.8 (---)
	Ex.R.	1.208 [1.160 - 1.257]		
m2 - Length	<i>N</i>	75	56	19
	<i>V*</i>	17.26 [14.92 - 18.80]	5.72 [4.43 - 6.86]	4.64 [3.07 - 5.75]
	<i>b</i>	0.771 [0.708 - 0.830]	0.252 [0.211 - 0.454]	0.308 [0.225 - 0.577]
	<i>D</i>	1.274 [1.000]	1.000 [1.000]	1.062 [1.000]
	Mixt.	2 gr.: $1 \times 10^{19}$ (1.413 [1.384])	1 gr.: 9.0 (---)	1 gr.: 21.1 (---)
	Ex.R.	1.413 [1.378 - 1.449]		
m2 - Width	<i>N</i>	78	55	19
	<i>V*</i>	12.79 [11.02 - 14.24]	7.82 [6.45 - 9.01]	5.04 [3.64 - 6.02]
	<i>b</i>	0.494 [0.404 - 0.597]	0.389 [0.328 - 0.527]	0.448 [0.358 - 0.736]
	<i>D</i>	1.208 [1.124]	1.110 [1.000]	1.084 [1.000]
	Mixt.	2 gr.: 110 (1.255 [1.221])	1 gr.: 1.82 (---)	2 gr.: 3.2 (1.089 [1.070])
	Ex.R.	1.256 [1.220 - 1.295]		
m2 - Ln(LxW)	<i>N</i>	74	55	19
	<i>V*</i>	14.52 [12.61 - 15.97]	6.74 [5.26 - 8.22]	3.27 [2.27 - 3.96]
	<i>b</i>	0.613 [0.494 - 0.741]	0.355 [0.285 - 0.531]	0.359 [0.265 - 0.545]
	<i>D</i>	1.237 [1.000]	1.000 [1.000]	1.050 [1.000]
	Mixt.	2 gr.: $8.8 \times 10^{11}$ (1.328 [1.303])	1 gr.: 1.73 (---)	1 gr.: 7.8 (---)
	Ex.R.	1.328 [1.299 - 1.359]		

m3 - Length	<i>N</i>	46	26	7
	<i>V*</i>	9.96 [7.49 - 12.14]	7.85 [6.08 - 8.99]	7.27 [1.76 - 9.18]
	<i>b</i>	0.313 [0.234 - 0.512]	0.605 [0.468 - 0.756]	0.411 [0.180 - 0.645]
	<i>D</i>	1.000 [1.000]	1.148 [1.068]	1.097 [1.000]
	Mixt.	1 gr.: 1.07 (---)	Failed	1 gr.: 2164 (---)
	Ex.R.	1.197 [1.135 - 1.269]		
m3 - Width	<i>N</i>	54	32	9
	<i>V*</i>	9.96 [8.32 - 11.36]	10.41 [7.97 - 12.47]	5.93 [3.48 - 6.85]
	<i>b</i>	0.399 [0.323 - 0.536]	0.379 [0.291 - 0.574]	0.350 [0.176 - 0.607]
	<i>D</i>	1.169 [1.112]	1.159 [1.000]	1.107 [1.000]
	Mixt.	Failed	Failed	1 gr.: 403 (---)
	Ex.R.	1.114 [1.059 - 1.171]		
m3 - Ln(LxW)	<i>N</i>	45	25	7
	<i>V*</i>	14.16 [11.45 - 16.46]	14.06 [10.58 - 16.76]	7.34 [2.66 - 8.42]
	<i>b</i>	0.381 [0.319 - 0.553]	0.404 [0.319 - 0.583]	0.420 [0.259 - 0.645]
	<i>D</i>	1.233 [1.000]	1.247 [1.000]	1.125 [1.000]
	Mixt.	1 gr.: 1.65 (---)	1 gr.: 3.0 (---)	1 gr.: 11.7 (---)
	Ex.R.	1.222 [1.139 - 1.316]		



**Supplementary Figure S1.** Bivariate Length × Width scatterplots for *Palaeostylops iturus* and *P. macrodon* cheek teeth from the Gashatan of the Khashat Formation in the Flaming Cliffs area (Mongolia). All measurements in mm.